As Introduced

136th General Assembly Regular Session 2025-2026

S. B. No. 149

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Senator Roegner

A BILL

Revised Code to enter into the Respiratory Care

To enact sections 4761.20 and 4761.21 of the

Interstate Compact.

BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF OHIO:	
Section 1. That sections 4761.20 and 4761.21 of the	4
Revised Code be enacted to read as follows: Sec. 4761.20. The "Respiratory Care Interstate Compact" is	5
hereby ratified, enacted into law, and entered into by the state	7
of Ohio as a party to the compact with any other state that has	8
legally joined the compact as follows:	9
RESPIRATORY CARE INTERSTATE COMPACT	10
SECTION 1. TITLE AND PURPOSE	11
A. The purpose of this Compact is to facilitate the	12
interstate Practice of Respiratory Therapy with the goal of	13
improving public access to Respiratory Therapy services by	14
providing Respiratory Therapists licensed in a Member State the	15
ability to practice in other Member States. The Compact	16
preserves the regulatory authority of states to protect public	17
health and safety through the current system of State licensure.	18

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B. This Compact is designed to achieve the following	19
objectives:	20
1. Increase public access to Respiratory Therapy services	21
by creating a responsible, streamlined pathway for Licensees to	22
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practice in Member States with the goal of improving outcomes	
<pre>for patients;</pre>	24
2. Enhance States' ability to protect the public's health	25
and safety;	26
3. Promote the cooperation of Member States in regulating	27
the Practice of Respiratory Therapy within those Member States;	28
4. Ease administrative burdens on States by encouraging	29
the cooperation of Member States in regulating multi-state	30
Respiratory Therapy practice;	31
5. Support relocating Active Military Members and their	32
spouses; and	33
6. Promote mobility and address workforce shortages.	34
SECTION 2. DEFINITIONS	35
SECTION 2. DEFINITIONS	33
As used in this Compact, unless the context requires	36
otherwise, the following definitions shall apply:	37
A. "Active Military Member" means any person with a full-	38
time duty status in the armed forces of the United States,	39
including members of the National Guard and Reserve.	40
B. "Adverse Action" means any administrative, civil,	41
equitable, or criminal action permitted by a State's laws which	42
is imposed by any State authority with regulatory authority over	43
Respiratory Therapists, such as license denial, censure,	44
revocation, suspension, probation, monitoring of the Licensee,	45

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or restriction on the Licensee's practice, not including	46
participation in an Alternative Program.	47
C. "Alternative Program" means a non-disciplinary	4 8
monitoring or practice remediation process applicable to a	4.9
Respiratory Therapist approved by any State authority with	50
regulatory authority over Respiratory Therapists. This includes,	51
but is not limited to, programs to which Licensees with	52
substance abuse or addiction issues are referred in lieu of	53
Adverse Action.	54
D. "Charter Member States" means those Member States who	55
were the first seven states to enact the Compact into the laws	56
of their State.	57
E. "Commission" or "Respiratory Care Interstate Compact	58
Commission" means the government instrumentality and body	5.9
politic whose membership consists of all Member States that have	60
enacted the Compact.	61

F. "Commissioner" means the individual appointed by a

Member State to serve as the member of the Commission for that

G. "Compact" means the Respiratory Care Interstate

a Remote State to allow a Licensee from another Member State to

practice as a Respiratory Therapist in the Remote State under

the Remote State's laws and Rules. The Practice of Respiratory

Therapy occurs in the Member State where the patient is located

Member State of fingerprints or other biometric-based

at the time of the patient encounter.

H. "Compact Privilege" means the authorization granted by

I. "Criminal Background Check" means the submission by the

Member State.

Compact.

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information on license applicants at the time of initial	75
licensing for the purpose of obtaining that applicant's criminal	76
history record information, as defined in 28 C.F.R. § 20.3(d) or	77
successor provision, from the Federal Bureau of Investigation	78
and the State's criminal history record repository, as defined	79
in 28 C.F.R. § 20.3(f) or successor provision.	80
J. "Data System" means the Commission's repository of	81
information about Licensees as further set forth in Section 8.	82
K. "Domicile" means the jurisdiction which is the	83
Licensee's principal home for legal purposes.	84
L. "Encumbered License" means a license that a State's	85
Respiratory Therapy Licensing Authority has limited in any way.	86
M. "Executive Committee" means a group of directors	87
elected or appointed to act on behalf of, and within the powers	88
granted to them by the Commission.	89
N. "Home State" except as set forth in Section 5, means	90
the Member State that is the Licensee's primary Domicile.	91
O. "Home State License" means an active license to	92
practice Respiratory Therapy in a Home State that is not an	93
Encumbered License.	94
P. "Jurisprudence Requirement" means an assessment of an	95
individual's knowledge of the State laws and regulations	96
governing the Practice of Respiratory Therapy in such State.	97
Q. "Licensee" means an individual who currently holds an	98
authorization from the State to practice as a Respiratory	99
<pre>Therapist.</pre>	100
R. "Member State" means a State that has enacted the	101
Compact and been admitted to the Commission in accordance with	102

the provisions herein and Commission Rules.	103
S. "Model Compact" means the model for the Respiratory	104
Care Interstate Compact on file with The Council of State	105
Governments or other entity as designated by the Commission.	106
T. "Remote State" means a Member State where a Licensee is	107
exercising or seeking to exercise the Compact Privilege.	108
U. "Respiratory Therapist" or "Respiratory Care	109
Practitioner" means an individual who holds a credential issued	110
by the National Board for Respiratory Care (or its successor)	111
and holds a license in a State to practice Respiratory Therapy.	112
For purposes of this Compact, any other title or status adopted	113
by a State to replace the term "Respiratory Therapist" or	114
"Respiratory Care Practitioner" shall be deemed synonymous with	115
"Respiratory Therapist" and shall confer the same rights and	116
responsibilities to the Licensee under the provisions of this	117
Compact at the time of its enactment.	118
V. "Respiratory Therapy," "Respiratory Therapy Practice,"	119
"Respiratory Care," "the Practice of Respiratory Care," and "the	120
Practice of Respiratory Therapy" means the care and services	121
provided by or under the direction and supervision of a	122
Respiratory Therapist or Respiratory Care Practitioner as	123
defined by state law and regulations.	124
W. "Respiratory Therapy Licensing Authority" means the	125
agency, board, or other body of a State that is responsible for	126
licensing and regulation of Respiratory Therapists.	127
X. "Rule" means a regulation promulgated by an entity that	128
has the force and effect of law.	129
Y. "Scope of Practice" means the procedures, actions, and	130
processes a Respiratory Therapist licensed in a State or	131

practicing under a Compact Privilege in a State is permitted to	132
undertake in that State and the circumstances under which the	133
Respiratory Therapist is permitted to undertake those	134
procedures, actions, and processes. Such procedures, actions,	135
and processes, and the circumstances under which they may be	136
undertaken may be established through means, including, but not	137
limited to, statute, regulations, case law, and other processes	138
available to the State Respiratory Therapy Licensing Authority	139
or other government agency.	140
Z. "Significant Investigative Information" means	141
information, records, and documents received or generated by a	142
State Respiratory Therapy Licensing Authority pursuant to an	143
investigation for which a determination has been made that there	144
is probable cause to believe that the Licensee has violated a	145
statute or regulation that is considered more than a minor	146
infraction for which the State Respiratory Therapy Licensing	147
Authority could pursue Adverse Action against the Licensee.	148
AA. "State" means any state, commonwealth, district, or	149
territory of the United States.	150
SECTION 3. STATE PARTICIPATION IN THIS COMPACT	151
A. In order to participate in this Compact and thereafter	152
continue as a Member State, a Member State shall:	153
1. Enact a Compact that is not materially different from	154
the Model Compact;	155
2. License Respiratory Therapists;	156
3. Participate in the Commission's Data System;	157
4. Have a mechanism in place for receiving and	158
investigating complaints against Licensees and Compact Privilege	159

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<pre>holders;</pre>	160
5. Notify the Commission, in compliance with the terms of	161
this Compact and Commission Rules, of any Adverse Action against	162
a Licensee, a Compact Privilege holder, or a license applicant;	163
6. Notify the Commission, in compliance with the terms of	164
this Compact and Commission Rules, of the existence of	165
Significant Investigative Information;	166
7. Comply with the Rules of the Commission;	167
8. Grant the Compact Privilege to a holder of an active	168
<pre>Home State License and otherwise meet the applicable</pre>	169
requirements of Section 4 in a Member State; and	170
9. Complete a Criminal Background Check for each new	171
Licensee at the time of initial licensure.	172
a. Where expressly authorized or permitted by federal law,	173
whether such federal law is in effect prior to, at, or after the	174
time of a Member State's enactment of this Compact, a Member	175
State's enactment of this Compact shall hereby authorize the	176
Member State's Respiratory Therapy Licensing Authority to	177
perform Criminal Background Checks as defined herein. The	178
absence of such a federal law as described in this subsection	179
shall not prevent or preclude such authorization where it may be	180
derived or granted through means other than the enactment of	181
this Compact.	182
B. Nothing in this Compact prohibits a Member State from	183
charging a fee for granting and renewing the Compact Privilege.	184
SECTION 4. COMPACT PRIVILEGE	185
A. To exercise the Compact Privilege under the terms and	186
provisions of the Compact, the Licensee shall:	187

1. Hold and maintain an active Home State License as a	188
Respiratory Therapist;	189
2. Hold and maintain an active credential from the	190
National Board for Respiratory Care (or its successor) that	191
would qualify them for licensure in the Remote State in which	192
they are seeking the privilege;	193
3. Have not had any Adverse Action against a license	194
within the previous two (2) years;	195
4. Notify the Commission that the Licensee is seeking the	196
<pre>Compact Privilege within a Remote State(s);</pre>	197
5. Pay any applicable fees, including any State and	198
Commission fees and renewal fees, for the Compact Privilege;	199
6. Meet any Jurisprudence Requirements established by the	200
Remote State in which the Licensee is seeking a Compact	201
<pre>Privilege;</pre>	202
7. Report to the Commission Adverse Action taken by any	203
non-Member State within thirty (30) days from the date the	204
Adverse Action is taken;	205
8. Report to the Commission, when applying for a Compact	206
Privilege, the address of the Licensee's Domicile and thereafter	207
promptly report to the Commission any change in the address of	208
the Licensee's Domicile within thirty (30) days of the effective	209
date of the change in address; and	210
9. Consent to accept service of process by mail at the	211
Licensee's Domicile on record with the Commission with respect	212
to any action brought against the Licensee by the Commission or	213
a Member State, and consent to accept service of a subpoena by	214
mail at the Licensee's Domicile on record with the Commission	215

with respect to any action brought or investigation conducted by	216
the Commission or a Member State.	217
B. The Compact Privilege is valid until the expiration	218
date or revocation of the Home State License unless terminated	219
pursuant to Adverse Action. The Licensee must comply with all of	220
the requirements of Subsection A, above, to maintain the Compact	221
Privilege in a Remote State. If those requirements are met, no	222
Adverse Actions are taken, and the Licensee has paid any	223
applicable Compact Privilege renewal fees, then the Licensee	224
will maintain the Licensee's Compact Privilege.	225
C. A Licensee providing Respiratory Therapy in a Remote	226
State under the Compact Privilege shall function within the	227
Scope of Practice authorized by the Remote State for the type of	228
Respiratory Therapist license the Licensee holds. Such	229
procedures, actions, processes, and the circumstances under	230
which they may be undertaken may be established through means,	231
including, but not limited to, statute, regulations, case law,	232
and other processes available to the State Respiratory Therapy	233
Licensing Authority or other government agency.	234
D. If a Licensee's Compact Privilege in a Remote State is	235
removed by the Remote State, the individual shall lose or be	236
ineligible for the Compact privilege in that Remote State until	237
the Compact Privilege is no longer limited or restricted by that	238
State.	239
E. If a Home State License is encumbered, the Licensee	240
shall lose the Compact Privilege in all Remote States until the	241
following occur:	242
1. The Home State License is no longer encumbered; and	243
2. Two (2) years have elapsed from the date on which the	244

license is no longer encumbered due to the Adverse Action.	245
F. Once a Licensee with a restricted or limited license	246
meets the requirements of Subsection E.1 and 2, the Licensee	247
must also meet the requirements of Subsection A to obtain a	248
Compact Privilege in a Remote State.	249
SECTION 5. ACTIVE MILITARY MEMBER OR THEIR SPOUSE	250
A. An Active Military Member, or their spouse, shall	251
designate a Home State where the individual has a current	252
license in good standing. The individual may retain the Home	253
State designation during the period the service member is on	254
active duty.	255
B. An Active Military Member and their spouse shall not be	256
required to pay to the Commission for a Compact Privilege any	257
fee that may otherwise be charged by the Commission. If a Remote	258
State chooses to charge a fee for a Compact Privilege, it may	259
choose to charge a reduced fee or no fee to an Active Military	260
Member and their spouse for a Compact Privilege.	261
SECTION 6. ADVERSE ACTIONS	262
A. A Member State in which a Licensee is licensed shall	263
have authority to impose Adverse Action against the license	264
issued by that Member State.	265
B. A Member State may take Adverse Action based on	266
Significant Investigative Information of a Remote State or the	267
Home State, so long as the Member State follows its own	268
procedures for imposing Adverse Action.	269
C. Nothing in this Compact shall override a Member State's	270
decision that participation in an Alternative Program may be	271
used in lieu of Adverse Action and that such participation shall	272

remain non-public if required by the Member State's laws.	273
D. A Remote State shall have the authority to:	274
1. Take Adverse Actions as set forth herein against a	275
Licensee's Compact Privilege in that State;	276
2. Issue subpoenas for both hearings and investigations	277
that require the attendance and testimony of witnesses, and the	278
<pre>production of evidence.</pre>	279
a. Subpoenas may be issued by a Respiratory Therapy	280
Licensing Authority in a Member State for the attendance and	281
testimony of witnesses and the production of evidence.	282
b. Subpoenas issued by a Respiratory Therapy Licensing	283
Authority in a Member State for the attendance and testimony of	284
witnesses shall be enforced in the latter State by any court of	285
competent jurisdiction in the latter State, according to the	286
practice and procedure of that court applicable to subpoenas	287
issued in proceedings pending before it.	288
c. Subpoenas issued by a Respiratory Therapy Licensing	289
Authority in a Member State for production of evidence from	290
another Member State shall be enforced in the latter State,	291
according to the practice and procedure of that court applicable	292
to subpoenas issued in the proceedings pending before it.	293
d. The issuing authority shall pay any witness fees,	294
travel expenses, mileage, and other fees required by the service	295
statutes of the State where the witnesses or evidence are	296
<pre>located;</pre>	297
3. Unless otherwise prohibited by State law, recover from	298
the Licensee the costs of investigations and disposition of	299
cases resulting from any Adverse Action taken against that	300

Licensee;	301
4. Notwithstanding subsection D.2., a Member State may not	302
issue a subpoena to gather evidence of conduct in another Member	303
State that is lawful in such other Member State for the purpose	304
of taking Adverse Action against a Licensee's Compact Privilege	305
or application for a Compact Privilege in that Member State; and	306
5. Nothing in this Compact authorizes a Member State to	307
impose discipline against a Respiratory Therapist's Compact	308
Privilege in that Member State for the individual's otherwise	309
<pre>lawful practice in another State.</pre>	310
E. Joint Investigations	311
1. In addition to the authority granted to a Member State	312
by its respective Respiratory Therapy Practice act or other	313
applicable state law, a Member State may participate with other	314
Member States in joint investigations of Licensees, provided,	315
however, that a Member State receiving such a request has no	316
obligation to respond to any subpoena issued regarding an	317
investigation of conduct or practice that was lawful in a Member	318
State at the time it was undertaken.	319
2. Member States shall share any Significant Investigative	320
Information, litigation, or compliance materials in furtherance	321
of any joint or individual investigation initiated under the	322
Compact. In sharing such information between Member State	323
Respiratory Therapy Licensing Authorities, all information	324
obtained shall be kept confidential, except as otherwise	325
mutually agreed upon by the sharing and receiving Member	326
<pre>State(s).</pre>	327
F. Nothing in this Compact may permit a Member State to	328
take any Adverse Action against a Licensee or holder of a	329

Compact Privilege for conduct or practice that was legal in the	330
Member State at the time it was undertaken.	331
G. Nothing in this Compact may permit a Member State to	332
take disciplinary action against a Licensee or holder of a	333
Compact Privilege for conduct or practice that was legal in the	334
Member State at the time it was undertaken.	335
SECTION 7. ESTABLISHMENT OF THE RESPIRATORY CARE	336
INTERSTATE COMPACT COMMISSION	337
A. The Compact Member States hereby create and establish a	338
joint government agency whose membership consists of all Member	339
States that have enacted the Compact known as the Respiratory	340
Care Interstate Compact Commission. The Commission is an	341
instrumentality of the Compact Member States acting jointly and	342
not an instrumentality of any one State. The Commission shall	343
come into existence on or after the effective date of the	344
Compact, as set forth in Section 11.	345
B. Membership, Voting, and Meetings	346
1. Each Member State shall have and be limited to one (1)	347
Commissioner selected by that Member State's Respiratory Therapy	348
Licensing Authority.	349
2. The Commissioner shall be an administrator or their	350
designated staff member of the Member State's Respiratory	351
Therapy Licensing Authority.	352
3. The Commission shall by Rule or bylaw establish a term	353
of office for Commissioners and may by Rule or bylaw establish	354
<pre>term limits.</pre>	355
4. The Commission may recommend to a Member State the	356
removal or suspension any Commissioner from office.	357

5. A Member State's Respiratory Therapy Licensing	358
Authority shall fill any vacancy of its Commissioner occurring	359
on the Commission within sixty (60) days of the vacancy.	360
6. Each Commissioner shall be entitled to one vote on all	361
matters before the Commission requiring a vote by Commissioners.	362
7. A Commissioner shall vote in person or by such other	363
means as provided in the bylaws. The bylaws may provide for	364
Commissioners to meet by telecommunication, video conference, or	365
other means of communication.	366
8. The Commission shall meet at least once during each	367
<pre>calendar year. Additional meetings may be held as set forth in</pre>	368
the bylaws.	369
C. The Commission shall have the following powers:	370
1. Establish and amend the fiscal year of the Commission;	371
2. Establish and amend bylaws and policies, including but	372
<pre>not limited to, a code of conduct and conflict of interest;</pre>	373
3. Establish and amend Rules, which shall be binding in	374
all Member States;	375
4. Maintain its financial records in accordance with the	376
<pre>bylaws;</pre>	377
5. Meet and take such actions as are consistent with the	378
provisions of this Compact, the Commission's Rules, and the	379
bylaws;	380
6. Initiate and conduct legal proceedings or actions in	381
the name of the Commission, provided that the standing of any	382
Respiratory Therapy Licensing Authority to sue or be sued under	383
applicable law shall not be affected;	384

7. Maintain and certify records and information provided	385
to a Member State as the authenticated business records of the	386
Commission, and designate an agent to do so on the Commission's	387
<pre>behalf;</pre>	388
8. Purchase and maintain insurance and bonds;	389
9. Accept or contract for services of personnel,	390
including, but not limited to, employees of a Member State;	391
10. Conduct an annual financial review;	392
11. Hire employees, elect or appoint officers, fix	393
compensation, define duties, grant such individuals appropriate	394
authority to carry out the purposes of the Compact, and	395
establish the Commission's personnel policies and programs	396
relating to conflicts of interest, qualifications of personnel,	397
and other related personnel matters;	398
12. Assess and collect fees;	399
13. Accept any and all appropriate gifts, donations,	400
grants of money, other sources of revenue, equipment, supplies,	401
materials, and services, and receive, utilize, and dispose of	402
the same, provided that at all times:	403
a. The Commission shall avoid any appearance of	404
<pre>impropriety; and</pre>	405
b. The Commission shall avoid any appearance of conflict	406
of interest;	407
14. Lease, purchase, retain, own, hold, improve, or use	408
any property, real, personal, or mixed, or any undivided	409
<pre>interest therein;</pre>	410
15. Sell, convey, mortgage, pledge, lease, exchange,	411

abandon, or otherwise dispose of any property real, personal, or	412
<pre>mixed;</pre>	413
16. Establish a budget and make expenditures;	414
17. Borrow money in a fiscally responsible manner;	415
18. Appoint committees, including standing committees,	416
composed of Commissioners State regulators, State legislators or	417
their representatives, and consumer representatives, and such	418
other interested persons as may be designated in this Compact	419
and the bylaws;	420
19. Provide and receive information from, and cooperate	421
with, law enforcement agencies;	422
20. Establish and elect an Executive Committee, including	423
a chair, vice-chair, secretary, treasurer, and such other	424
offices as the Commission shall establish by Rule or bylaw;	425
21. Enter into contracts or arrangements for the	426
management of the affairs of the Commission;	427
22. Determine whether a State's adopted language is	428
materially different from the Model Compact language such that	429
the State would not qualify for participation in the Compact;	430
and	431
23. Perform such other functions as may be necessary or	432
appropriate to achieve the purposes of this Compact.	433
D. The Executive Committee	434
1. The Executive Committee shall have the power to act on	435
behalf of the Commission according to the terms of this Compact.	436
The powers, duties, and responsibilities of the Executive	437
Committee shall include:	438

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a. Overseeing the day-to-day activities of the	439
administration of the Compact, including enforcement and	440
compliance with the provisions of the Compact, its Rules and	441
bylaws, and other such duties as deemed necessary;	442
b. Recommending to the Commission changes to the Rules or	443
bylaws, changes to this Compact legislation, fees charged to	444
Compact Member States, fees charged to Licensees, and other	445
fees;	446
c. Ensuring Compact administration services are	447
<pre>appropriately provided, including by contract;</pre>	448
d. Preparing and recommending the budget;	449
e. Maintaining financial records on behalf of the	450
<pre>Commission;</pre>	451
f. Monitoring Compact compliance of Member States and	452
providing compliance reports to the Commission;	453
g. Establishing additional committees as necessary;	454
h. Exercising the powers and duties of the Commission	455
during the interim between Commission meetings, except for	456
adopting or amending Rules, adopting or amending bylaws, and	457
exercising any other powers and duties expressly reserved to the	458
Commission by Rule or bylaw; and	459
i. Performing other duties as provided in the Rules or	460
bylaws of the Commission.	461
2. The Executive Committee shall be composed of up to nine	462
(9) members, as further set forth in the bylaws of the	463
<pre>Commission:</pre>	464
a. Seven (7) voting members who are elected by the	465

Commission from the current membership of the Commission; and	466
b. Two (2) ex-officio, non-voting members.	467
3. The Commission may remove any member of the Executive	468
Committee as provided in the Commission's bylaws.	469
4. The Executive Committee shall meet at least annually.	470
a. Executive Committee meetings shall be open to the	471
public, except that the Executive Committee may meet in a	472
closed, non-public meeting as provided in subsection F.4 below;	473
b. The Executive Committee shall give advance notice of	474
its meetings, posted on its website and as determined to provide	475
notice to persons with an interest in the business of the	476
<pre>Commission; and</pre>	477
c. The Executive Committee may hold a special meeting in	478
accordance with subsection F.2 below.	479
E. The Commission shall adopt and provide to the Member	480
States an annual report.	481
F. Meetings of the Commission	482
1. All meetings of the Commission that are not closed	483
pursuant to subsection 7.F.4 shall be open to the public. Notice	484
of public meetings shall be posted on the Commission's website	485
at least thirty (30) days prior to the public meeting.	486
2. Notwithstanding subsection 7.F.1, the Commission may	487
convene an emergency public meeting by providing at least	488
twenty-four (24) hours prior notice on the Commission's website,	489
and any other means as provided in the Commission's Rules, for	490
any of the reasons it may dispense with notice of proposed	491
rulemaking under subsection 9.G. The Commission's legal counsel	492

shall certify that one of the reasons justifying an emergency	493
<pre>public meeting has been met.</pre>	494
3. Notice of all Commission meetings shall provide the	495
time, date, and location of the meeting, and if the meeting is	496
to be held or accessible via telecommunication, video	497
conference, or other electronic means, the notice shall include	498
the mechanism for access to the meeting.	499
4. The Commission or the Executive Committee may convene	500
in a closed, non-public meeting for the Commission or Executive	501
Committee to receive or solicit legal advice or to discuss:	502
a. Non-compliance of a Member State with its obligations	503
under the Compact;	504
	001
b. The employment, compensation, discipline or other	505
matters, practices or procedures related to specific employees;	506
c. Current or threatened discipline of a Licensee or	507
Compact Privilege holder by the Commission or by a Member	508
State's Respiratory Therapy Licensing Authority;	509
d. Current, threatened, or reasonably anticipated	510
<pre>litigation;</pre>	511
e. Negotiation of contracts for the purchase, lease, or	512
sale of goods, services, or real estate;	513
f. Accusing any person of a crime or formally censuring	514
any person;	515
g. Trade secrets or commercial or financial information	516
that is privileged or confidential;	517
h. Information of a personal nature where disclosure would	518
constitute a clearly unwarranted invasion of personal privacy;	519

i. Investigative records compiled for law enforcement	520
purposes;	521
j. Information related to any investigative reports	522
prepared by or on behalf of or for use of the Commission or	523
other committee charged with responsibility of investigation or	524
determination of compliance issues pursuant to the Compact;	525
k. Legal advice;	526
1. Matters specifically exempted from disclosure by	527
federal or Member State law; or	528
m. Other matters as promulgated by the Commission by Rule.	529
5. If a meeting, or portion of a meeting, is closed, the	530
presiding officer shall state that the meeting will be closed	531
and reference each relevant exempting provision, and such	532
reference shall be recorded in the minutes.	533
6. The Commission shall keep minutes in accordance with	534
Commission Rules and bylaws. All documents considered in	535
connection with an action shall be identified in such minutes.	536
All minutes and documents of a closed meeting shall remain under	537
seal, subject to release only by a majority vote of the	538
Commission or order of a court of competent jurisdiction.	539
G. Financing of the Commission	540
1. The Commission shall pay, or provide for the payment	541
of, the reasonable expenses of its establishment, organization,	542
and ongoing activities.	543
2. The Commission may accept any and all appropriate	544
revenue sources as provided herein.	545
3. The Commission may levy on and collect an annual	546

assessment from each Member State and impose fees on Licensees	547
of Member States to whom it grants a Compact Privilege to cover	548
the cost of the operations and activities of the Commission and	549
its staff. The aggregate annual assessment amount for Member	550
States, if any, shall be allocated based upon a formula that the	551
Commission shall promulgate by Rule.	552
4. The Commission shall not incur obligations of any kind	553
prior to securing the funds or a loan adequate to meet the same;	554
nor shall the Commission pledge the credit of any of the Member	555
States, except by and with the authority of the Member State.	556
5. The Commission shall keep accurate accounts of all	557
receipts and disbursements. The receipts and disbursements of	558
the Commission shall be subject to the financial review and	559
accounting procedures established under its bylaws. However, all	560
receipts and disbursements of funds handled by the Commission	561
shall be subject to an annual financial review by a certified or	562
licensed public accountant, and the report of the financial	563
review shall be included in and become part of the annual report	564
of the Commission.	565
H. Qualified Immunity, Defense, and Indemnification	566
1. Nothing herein shall be construed as a limitation on	567
the liability of any Licensee for professional malpractice or	568
misconduct, which shall be governed solely by any other	569
applicable state laws.	570
2. The Member States, Commissioners, officers, executive	571
directors, employees, and agents of the Commission shall be	572
immune from suit and liability, both personally and in their	573
official capacity, for any claim for damage to or loss of	574
property or personal injury or other civil liability caused by	575

or arising out of any actual or alleged act, error, or omission	576
that occurred, or that the person against whom the claim is made	577
had a reasonable basis for believing occurred within the scope	578
of Commission employment, duties or responsibilities; provided	579
that nothing in this subsection shall be construed to protect	580
any such person from suit or liability for any damage, loss,	581
injury, or liability caused by the intentional or willful or	582
wanton misconduct of that person. The procurement of insurance	583
of any type by the Commission shall not in any way compromise or	584
limit the immunity granted hereunder.	585
3. The Commission shall defend any Commissioner, officer,	586
executive director, employee, and agent of the Commission in any	587
civil action seeking to impose liability arising out of any	588
actual or alleged act, error, or omission that occurred within	589
the scope of Commission employment, duties, or responsibilities,	590
or as determined by the Commission that the person against whom	591
the claim is made had a reasonable basis for believing occurred	592
within the scope of Commission employment, duties, or	593
responsibilities; provided that nothing herein shall be	594
construed to prohibit that person from retaining their own	595
counsel at their own expense; and provided further, that the	596
actual or alleged act, error, or omission did not result from	597
that person's intentional or willful or wanton misconduct.	598
4. The Commission shall indemnify and hold harmless any	599
Commissioner, member, officer, executive director, employee, and	600
agent of the Commission for the amount of any settlement or	601
judgment obtained against that person arising out of any actual	602
or alleged act, error, or omission that occurred within the	603
scope of Commission employment, duties, or responsibilities, or	604
that such person had a reasonable basis for believing occurred	605
within the scope of Commission employment, duties, or	606

responsibilities, provided that the actual or alleged act,	607
error, or omission did not result from the intentional or	608
willful or wanton misconduct of that person.	609
5. Nothing in this Compact shall be interpreted to waive	610
or otherwise abrogate a Member State's state action immunity or	611
state action affirmative defense with respect to antitrust	612
claims under the Sherman Act, Clayton Act, or any other State or	613
federal antitrust or anticompetitive law or regulation.	614
6. Nothing in this Compact shall be construed to be a	615
waiver of sovereign immunity by the Member States or by the	616
Commission.	617
SECTION 8. DATA SYSTEM	618
A. The Commission shall provide for the development,	619
maintenance, operation, and utilization of a coordinated	620
database and reporting system containing licensure, Adverse	621
Action, and the presence of Significant Investigative	622
<pre>Information.</pre>	623
B. Notwithstanding any other provision of State law to the	624
contrary, a Member State shall submit a uniform data set to the	625
Data System as required by the Rules of the Commission,	626
<pre>including but not limited to:</pre>	627
1. Identifying information;	628
2. Licensure data;	629
3. Adverse Actions against a Licensee, license applicant,	630
or Compact Privilege holder and information related thereto;	631
4. Non-confidential information related to Alternative	632
Program participation, the beginning and ending dates of such	633
participation, and other information related to such	634

participation not made confidential under Member State law;	635
5. Any denial of application for licensure, and the	636
<pre>reason(s) for such denial;</pre>	637
6. The presence of current Significant Investigative	638
<pre>Information; and</pre>	639
7. Other information that may facilitate the	640
administration of this Compact or the protection of the public,	641
as determined by the Rules of the Commission.	642
C. No Member State shall submit any information which	643
constitutes criminal history record information, as defined by	644
applicable federal law, to the Data System established	645
hereunder.	646
D. The records and information provided to a Member State	647
pursuant to this Compact or through the Data System, when	648
certified by the Commission or an agent thereof, shall	649
constitute the authenticated business records of the Commission,	650
and shall be entitled to any associated hearsay exception in any	651
relevant judicial, quasi-judicial or administrative proceedings	652
in a Member State.	653
E. Significant Investigative Information pertaining to a	654
Licensee in any Member State will only be available to other	655
Member States.	656
F. It is the responsibility of the Member States to report	657
any Adverse Action against a Licensee and to monitor the	658
database to determine whether Adverse Action has been taken	659
against a Licensee. Adverse Action information pertaining to a	660
Licensee in any Member State will be available to any other	661
Member State	662

G. Member States contributing information to the Data	663
System may designate information that may not be shared with the	664
<pre>public without the express permission of the contributing State.</pre>	665
H. Any information submitted to the Data System that is	666
subsequently expunded pursuant to federal law or the laws of the	667
Member State contributing the information shall be removed from	668
the Data System.	669
SECTION 9. RULEMAKING	670
A. The Commission shall promulgate reasonable Rules in	671
order to effectively and efficiently implement and administer	672
the purposes and provisions of the Compact. A Rule shall be	673
invalid and have no force or effect only if a court of competent	674
jurisdiction holds that the Rule is invalid because the	675
Commission exercised its rulemaking authority in a manner that	676
is beyond the scope and purposes of the Compact, or the powers	677
granted hereunder, or based upon another applicable standard of	678
review.	679
B. For purposes of the Compact, the Rules of the	680
Commission shall have the force of law in each Member State.	681
C. The Commission shall exercise its rulemaking powers	682
pursuant to the criteria set forth in this section and the Rules	683
adopted thereunder. Rules shall become binding as of the date	684
specified in each Rule.	685
D. If a majority of the legislatures of the Member States	686
rejects a Rule or portion of a Rule, by enactment of a statute	687
or resolution in the same manner used to adopt the Compact	688
within four (4) years of the date of adoption of the Rule, then	689
such Rule shall have no further force and effect in any Member	690
State.	691

E. Rules shall be adopted at a regular or special meeting	692
of the Commission.	693
F. Prior to adoption of a proposed Rule, the Commission	694
shall hold a public hearing and allow persons to provide oral	695
and written comments, data, facts, opinions, and arguments.	696
G. Prior to adoption of a proposed Rule by the Commission,	697
and at least thirty (30) days in advance of the meeting at which	698
the Commission will hold a public hearing on the proposed Rule,	699
the Commission shall provide a notice of proposed rulemaking:	700
1. On the website of the Commission or other publicly	701
<pre>accessible platform;</pre>	702
2. To persons who have requested notice of the	703
Commission's notices of proposed rulemaking, and	704
3. In such other way(s) as the Commission may by Rule	705
specify.	706
H. The notice of proposed rulemaking shall include:	707
1. The time, date, and location of the public hearing at	708
which the Commission will hear public comments on the proposed	709
Rule and, if different, the time, date, and location of the	710
meeting where the Commission will consider and vote on the	711
<pre>proposed Rule;</pre>	712
2. If the hearing is held via telecommunication, video	713
conference, or other electronic means, the Commission shall	714
include the mechanism for access to the hearing in the notice of	715
<pre>proposed rulemaking;</pre>	716
3. The text of the proposed Rule and the reason therefor;	717
4. A request for comments on the proposed Rule from any	718

<pre>interested person; and</pre>	719
5. The manner in which interested persons may submit	720
written comments.	721
I. All hearings will be recorded. A copy of the recording	722
and all written comments and documents received by the	723
Commission in response to the proposed Rule shall be available	724
to the public.	725
J. Nothing in this section shall be construed as requiring	726
a separate hearing on each Rule. Rules may be grouped for the	727
convenience of the Commission at hearings required by this	728
section.	729
K. The Commission shall, by majority vote of all	730
Commissioners, take final action on the proposed Rule based on	731
the rulemaking record and the full text of the Rule.	732
1. The Commission may adopt changes to the proposed Rule	733
provided the changes are consistent with the original purpose of	734
the proposed Rule.	735
2. The Commission shall provide an explanation of the	736
reasons for substantive changes made to the proposed Rule as	737
well as reasons for substantive changes not made that were	738
recommended by commenters.	739
3. The Commission shall determine a reasonable effective	740
date for the Rule. Except for an emergency as provided in	741
Section 9.L, the effective date of the Rule shall be no sooner	742
than thirty (30) days after issuing the notice that it adopted	743
or amended the Rule.	744
L. Upon determination that an emergency exists, the	745
Commission may consider and adopt an emergency Rule with twenty-	746

four (24) hours' notice, and with opportunity to comment,	747
provided that the usual rulemaking procedures provided in the	748
Compact and in this section shall be retroactively applied to	749
the Rule as soon as reasonably possible, in no event later than	750
ninety (90) days after the effective date of the Rule. For the	751
purposes of this provision, an emergency Rule is one that must	752
<pre>be adopted immediately in order to:</pre>	753
1. Meet an imminent threat to public health, safety, or	754
<pre>welfare;</pre>	755
2. Prevent a loss of Commission or Member State funds;	756
3. Meet a deadline for the promulgation of a Rule that is	757
established by federal law or Rule; or	758
4. Protect public health and safety.	759
M. The Commission or an authorized committee of the	760
Commission may direct revisions to a previously adopted Rule for	761
purposes of correcting typographical errors, errors in format,	762
errors in consistency, or grammatical errors. Public notice of	763
any revisions shall be posted on the website of the Commission.	764
The revision shall be subject to challenge by any person for a	765
period of thirty (30) days after posting. The revision may be	766
challenged only on grounds that the revision results in a	767
material change to a Rule. A challenge shall be made in writing	768
and delivered to the Commission prior to the end of the notice	769
period. If no challenge is made, the revision will take effect	770
without further action. If the revision is challenged, the	771
revision may not take effect without the approval of the	772
Commission.	773
N. No Member State's rulemaking process or procedural	774
requirements shall apply to the Commission.	775

1. The Commission shall have no authority over any Member	776
State's rulemaking process or procedural requirements that do	777
not pertain to the Compact.	778
O. Nothing in this Compact, nor any Rule or regulation of	779
the Commission, shall be construed to limit, restrict, or in any	780
way reduce the ability of a Member State to enact and enforce	781
laws, regulations, or other Rules related to the Practice of	782
Respiratory Therapy in that State, where those laws,	783
regulations, or other Rules are not inconsistent with the	784
<pre>provisions of this Compact.</pre>	785
SECTION 10. OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT	786
A. Oversight	787
1. The executive and judicial branches of State government	788
in each Member State shall enforce this Compact and take all	789
actions necessary and appropriate to implement the Compact.	790
2. Venue is proper and judicial proceedings by or against	791
the Commission shall be brought solely and exclusively in a	792
court of competent jurisdiction where the principal office of	793
the Commission is located. The Commission may waive venue and	794
jurisdictional defenses to the extent it adopts or consents to	795
participate in alternative dispute resolution proceedings.	796
Nothing herein shall affect or limit the selection or propriety	797
of venue in any action against a Licensee for professional	798
<pre>malpractice, misconduct or any such similar matter.</pre>	799
3. The Commission shall be entitled to receive service of	800
process in any proceeding regarding the enforcement or	801
interpretation of the Compact and shall have standing to	802
intervene in such a proceeding for all purposes. Failure to	803
provide the Commission service of process shall render a	804

judgment or order void as to the Commission, this Compact, or	805
<pre>promulgated Rules.</pre>	806
B. Default, Technical Assistance, and Termination	807
1. If the Commission determines that a Member State has	808
defaulted in the performance of its obligations or	809
responsibilities under this Compact or the promulgated Rules,	810
the Commission shall provide written notice to the defaulting	811
State. The notice of default shall describe the default, the	812
proposed means of curing the default, and any other action that	813
the Commission may take, and shall offer training and specific	814
technical assistance regarding the default.	815
2. The Commission shall provide a copy of the notice of	816
default to the other Member States.	817
C. If a State in default fails to cure the default, the	818
defaulting State may be terminated from the Compact upon an	819
affirmative vote of a majority of the Commissioners of the	820
Member States, and all rights, privileges and benefits conferred	821
on that State by this Compact may be terminated on the effective	822
date of termination. A cure of the default does not relieve the	823
offending State of obligations or liabilities incurred during	824
the period of default.	825
D. Termination of membership in the Compact shall be	826
imposed only after all other means of securing compliance have	827
been exhausted. Notice of intent to suspend or terminate shall	828
be given by the Commission to the governor, the majority and	829
minority leaders of the defaulting State's legislature, the	830
defaulting State's Respiratory Therapy Licensing Authority and	831
each of the Member States' Respiratory Therapy Licensing	832
Authorities.	833

E. A State that has been terminated is responsible for all	834
assessments, obligations, and liabilities incurred through the	835
effective date of termination, including obligations that extend	836
beyond the effective date of termination, if necessary.	837
F. Upon the termination of a State's membership from this	838
Compact, that State shall immediately provide notice to all	839
Licensees and Compact Privilege holders (of which the Commission	840
has a record) within that State of such termination. The	841
terminated State shall continue to recognize all licenses	842
granted pursuant to this Compact for a minimum of one hundred	843
eighty (180) days after the date of said notice of termination.	844
G. The Commission shall not bear any costs related to a	845
State that is found to be in default or that has been terminated	846
from the Compact, unless agreed upon in writing between the	847
Commission and the defaulting State.	848
H. The defaulting State may appeal the action of the	849
Commission by petitioning the U.S. District Court for the	850
District of Columbia or the federal district where the	851
Commission has its principal offices. The prevailing party shall	852
be awarded all costs of such litigation, including reasonable	853
attorney's fees.	854
I. Dispute Resolution	855
1. Upon request by a Member State, the Commission shall	856
attempt to resolve disputes related to the Compact that arise	857
among Member States and between Member and non-Member States.	858
2. The Commission shall promulgate a Rule providing for	859
both mediation and binding dispute resolution for disputes, as	860
appropriate.	861
J. Enforcement	862

1. By majority vote, as may be further provided by Rule,	863
the Commission may initiate legal action against a Member State	864
in default in the United States District Court for the District	865
of Columbia or the federal district where the Commission has its	866
principal offices to enforce compliance with the provisions of	867
the Compact and its promulgated Rules. A Member State by	868
enactment of this Compact consents to venue and jurisdiction in	869
such court for the purposes set forth herein. The relief sought	870
may include both injunctive relief and damages. In the event	871
judicial enforcement is necessary, the prevailing party shall be	872
awarded all costs of such litigation, including reasonable	873
attorney's fees. The remedies herein shall not be the exclusive	874
remedies of the Commission. The Commission may pursue any other	875
remedies available under federal or the defaulting Member	876
State's law.	877
2. A Member State may initiate legal action against the	878
Commission in the U.S. District Court for the District of	879
Columbia or the federal district where the Commission has its	880
principal offices to enforce compliance with the provisions of	881
the Compact and its promulgated Rules. The relief sought may	882
include both injunctive relief and damages. In the event	883
judicial enforcement is necessary, the prevailing party shall be	884
awarded all costs of such litigation, including reasonable	885
attorney's fees.	886
3. No person other than a Member State shall enforce this	887
Compact against the Commission.	888
SECTION 11. EFFECTIVE DATE, WITHDRAWAL, AND AMENDMENT	889
A. The Compact shall come into effect on the date on which	890
the Compact statute is enacted into law in the seventh Member	891
State ("Effective Date").	892

1. On or after the Effective Date of the Compact, the	893
Commission shall convene and review the enactment of each of the	894
first seven Member States ("Charter Member States") to determine	895
if the statute enacted by each such Charter Member State is	896
<pre>materially different than the Model Compact.</pre>	897
a. A Charter Member State whose enactment is found to be	898
materially different from the Model Compact shall be entitled to	899
the default process set forth in Section 10.	900
b. If any Member State is later found to be in default, or	901
is terminated or withdraws from the Compact, the Commission	902
shall remain in existence and the Compact shall remain in effect	903
even if the number of Member States should be less than seven.	904
2. Member States enacting the Compact subsequent to the	905
seven initial Charter Member States shall be subject to the	906
process set forth herein and Commission Rule to determine if	907
their enactments are materially different from the Model Compact	908
and whether they qualify for participation in the Compact.	909
3. All actions taken for the benefit of the Commission or	910
in furtherance of the purposes of the administration of the	911
Compact prior to the effective date of the Compact or the	912
Commission coming into existence shall be considered to be	913
actions of the Commission unless specifically repudiated by the	914
Commission. The Commission shall own and have all rights to any	915
intellectual property developed on behalf or in furtherance of	916
the Commission by individuals or entities involved in organizing	917
or establishing the Commission, as may be further set forth in	918
Rules of the Commission.	919
4. Any State that joins the Compact subsequent to the	920
Commission's initial adoption of the Pules and hylaws shall be	921

subject to the Rules and bylaws as they exist on the date on	922
which the Compact becomes law in that State. Any Rule that has	923
been previously adopted by the Commission shall have the full	924
force and effect of law on the date the Compact becomes law in	925
that State.	926
B. Any Member State may withdraw from this Compact by	927
enacting a statute repealing the same.	928
1. A Member State's withdrawal shall not take effect until	929
one hundred eighty (180) days after enactment of the repealing	930
statute.	931
2. Withdrawal shall not affect the continuing requirement	932
of the withdrawing State's Respiratory Therapy Licensing	933
Authority to comply with the investigative and Adverse Action	934
reporting requirements of this Compact prior to the effective	935
date of withdrawal.	936
3. Upon the enactment of a statute withdrawing from this	937
Compact, a State shall immediately provide notice of such	938
withdrawal to all Licensees and Compact Privilege holders (of	939
which the Commission has a record) within that State.	940
Notwithstanding any subsequent statutory enactment to the	941
contrary, such withdrawing State shall continue to recognize all	942
licenses granted pursuant to this Compact for a minimum of one	943
hundred eighty (180) days after the date of such notice of	944
withdrawal.	945
C. Nothing contained in this Compact shall be construed to	946
invalidate or prevent any licensure agreement or other	947
cooperative arrangement between a Member State and a non-Member	948
State that does not conflict with the provisions of this	949
Compact	950

D. This Compact may be amended by the Member States. No	951
amendment to this Compact shall become effective and binding	952
upon any Member State until it is enacted into the laws of all	953
Member States.	954
SECTION 12. CONSTRUCTION AND SEVERABILITY	955
A. This Compact and the Commission's rulemaking authority	956
shall be liberally construed so as to effectuate the purposes	957
and the implementation and administration of the Compact.	958
Provisions of the Compact expressly authorizing or requiring the	959
promulgation of Rules shall not be construed to limit the	960
Commission's rulemaking authority solely for those purposes.	961
B. The provisions of this Compact shall be severable, and	962
if any phrase, clause, sentence or provision of this Compact is	963
held by a court of competent jurisdiction to be contrary to the	964
constitution of any Member State, a State seeking participation	965
in the Compact, or of the United States, or the applicability	966
thereof to any government, agency, person or circumstance is	967
held to be unconstitutional by a court of competent	968
jurisdiction, the validity of the remainder of this Compact and	969
the applicability thereof to any other government, agency,	970
person or circumstance shall not be affected thereby.	971
C. Notwithstanding subsection B of this section, the	972
Commission may deny a State's participation in the Compact or,	973
in accordance with the requirements of Section 10, terminate a	974
Member State's participation in the Compact, if it determines	975
that a constitutional requirement of a Member State is a	976
material departure from the Compact. Otherwise, if this Compact	977
shall be held to be contrary to the constitution of any Member	978
State, the Compact shall remain in full force and effect as to	979
the remaining Member States and in full force and effect as to	980

the Member State affected as to all severable matters.	981
SECTION 13. CONSISTENT EFFECT AND CONFLICT WITH OTHER	982
STATE LAWS	983
A. Nothing herein shall prevent or inhibit the enforcement	984
of any other law of a Member State that is not inconsistent with	985
the Compact.	986
B. Any laws, statutes, regulations, or other legal	987
requirements in a Member State in conflict with the Compact are	988
superseded to the extent of the conflict, including any	989
subsequently enacted State laws.	990
C. All permissible agreements between the Commission and	991
the Member States are binding in accordance with their terms.	992
D. Other than as expressly set forth herein, nothing in	993
this Compact will impact initial licensure.	994
Sec. 4761.21. Not later than sixty days after the	995
"Respiratory Care Interstate Compact" is entered into under	996
section 4761.20 of the Revised Code, the state medical board, in	997
accordance with Section 7 of the compact, shall select one	998
individual to serve as a commissioner on the respiratory care	999
interstate compact commission created under the compact. The	1000
board shall fill a vacancy in this position not later than sixty	1001
days after the vacancy occurs.	1002