# As Passed by the Senate

131st General Assembly Regular Session 2015-2016

S. B. No. 168

Senator LaRose Cosponsors: Senators Hughes, Manning, Beagle, Hite, Eklund, Lehner, Sawyer, Thomas, Yuko

# A BILL

To amend section 3301.0714 of the Revised Code to	1
require the Education Management Information	2
System to include information regarding persons	3
at whom a student's violent behavior that	4
resulted in discipline was directed and to	5
require the Department of Education to submit a	6
one-time report to the General Assembly	7
regarding that information.	8

# BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF OHIO:

Section 1. That section 3301.0714 of the Revised Code be	9
amended to read as follows:	10
Sec. 3301.0714. (A) The state board of education shall	11
adopt rules for a statewide education management information	12
system. The rules shall require the state board to establish	13
guidelines for the establishment and maintenance of the system	14
in accordance with this section and the rules adopted under this	15
section. The guidelines shall include:	16
(1) Standards identifying and defining the types of data	17

(1) Standards identifying and defining the types of data 17 in the system in accordance with divisions (B) and (C) of this 18

section;	19
(2) Procedures for annually collecting and reporting the data to the state board in accordance with division (D) of this section;	20 21 22
(3) Procedures for annually compiling the data in accordance with division (G) of this section;	23 24
(4) Procedures for annually reporting the data to the public in accordance with division (H) of this section;	25 26
(5) Standards to provide strict safeguards to protect the confidentiality of personally identifiable student data.	27 28
(B) The guidelines adopted under this section shall require the data maintained in the education management information system to include at least the following:	29 30 31
(1) Student participation and performance data, for each grade in each school district as a whole and for each grade in each school building in each school district, that includes:	32 33 34
(a) The numbers of students receiving each category of instructional service offered by the school district, such as regular education instruction, vocational education instruction,	35 36 37
specialized instruction programs or enrichment instruction that is part of the educational curriculum, instruction for gifted students, instruction for students with disabilities, and	38 39 40
remedial instruction. The guidelines shall require instructional services under this division to be divided into discrete categories if an instructional service is limited to a specific	41 42 43
subject, a specific type of student, or both, such as regular instructional services in mathematics, remedial reading instructional services, instructional services specifically for students gifted in mathematics or some other subject area, or	44 45 46 47

instructional services for students with a specific type of
disability. The categories of instructional services required by
the guidelines under this division shall be the same as the
categories of instructional services used in determining cost
units pursuant to division (C) (3) of this section.

(b) The numbers of students receiving support or 53 extracurricular services for each of the support services or 54 extracurricular programs offered by the school district, such as 55 counseling services, health services, and extracurricular sports 56 and fine arts programs. The categories of services required by 57 the quidelines under this division shall be the same as the 58 categories of services used in determining cost units pursuant 59 to division (C)(4)(a) of this section. 60

(c) Average student grades in each subject in grades nine through twelve;

(d) Academic achievement levels as assessed under sections3301.0710, 3301.0711, and 3301.0712 of the Revised Code;64

(e) The number of students designated as having a
disabling condition pursuant to division (C)(1) of section
3301.0711 of the Revised Code;
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(f) The numbers of students reported to the state board
pursuant to division (C)(2) of section 3301.0711 of the Revised
Code;
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(g) Attendance rates and the average daily attendance for
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the year. For purposes of this division, a student shall be
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counted as present for any field trip that is approved by the
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school administration.

(h) Expulsion rates;

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(i) Suspension rates;	76
(j) Dropout rates;	77
(k) Rates of retention in grade;	78
(l) For pupils in grades nine through twelve, the average	79
number of carnegie units, as calculated in accordance with state	80
board of education rules;	81
(m) Graduation rates, to be calculated in a manner	82
specified by the department of education that reflects the rate	83
at which students who were in the ninth grade three years prior	84
to the current year complete school and that is consistent with	85
nationally accepted reporting requirements;	86
(n) Results of diagnostic assessments administered to	87
kindergarten students as required under section 3301.0715 of the	88
Revised Code to permit a comparison of the academic readiness of	89

Rev kindergarten students. However, no district shall be required to 90 report to the department the results of any diagnostic 91 assessment administered to a kindergarten student, except for 92 the language and reading assessment described in division (A) (2) 93 of section 3301.0715 of the Revised Code, if the parent of that 94 student requests the district not to report those results. 95

(o) Beginning on the first day of July that next succeeds 96 the effective date of this amendment, for each disciplinary 97 action which is required to be reported under division (B)(4) of 98 this section, districts and schools also shall include an 99 identification of the person or persons, if any, at whom the 100 student's violent behavior that resulted in discipline was 101 directed. The person or persons shall be identified by the 102 respective classification at the district or school, such as 103 student, teacher, or nonteaching employee, but shall not be 104

identified by r	name.
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identified by name.	105
Division (B)(1)(o) of this section does not apply after	106
the date that is two years following the submission of the	107
report required by Section 3 of this act.	108
(2) Personnel and classroom enrollment data for each	109
school district, including:	110
(a) The total numbers of licensed employees and	111
nonlicensed employees and the numbers of full-time equivalent	112
licensed employees and nonlicensed employees providing each	113
category of instructional service, instructional support	114
service, and administrative support service used pursuant to	115
division (C)(3) of this section. The guidelines adopted under	116
this section shall require these categories of data to be	117
maintained for the school district as a whole and, wherever	118
applicable, for each grade in the school district as a whole,	119
for each school building as a whole, and for each grade in each	120
school building.	121
(b) The total number of employees and the number of full-	122
time equivalent employees providing each category of service	123
used pursuant to divisions (C)(4)(a) and (b) of this section,	124
and the total numbers of licensed employees and nonlicensed	125
employees and the numbers of full-time equivalent licensed	126

126 employees and nonlicensed employees providing each category used 127 pursuant to division (C)(4)(c) of this section. The guidelines 128 adopted under this section shall require these categories of 129 data to be maintained for the school district as a whole and, 130 wherever applicable, for each grade in the school district as a 131 whole, for each school building as a whole, and for each grade 132 in each school building. 133

(c) The total number of regular classroom teachers
teaching classes of regular education and the average number of
pupils enrolled in each such class, in each of grades
kindergarten through five in the district as a whole and in each
school building in the school district.

(d) The number of lead teachers employed by each school district and each school building.

(3) (a) Student demographic data for each school district, 141 including information regarding the gender ratio of the school 142 district's pupils, the racial make-up of the school district's 143 pupils, the number of limited English proficient students in the 144 district, and an appropriate measure of the number of the school 145 district's pupils who reside in economically disadvantaged 146 households. The demographic data shall be collected in a manner 147 to allow correlation with data collected under division (B)(1) 148 of this section. Categories for data collected pursuant to 149 division (B)(3) of this section shall conform, where 150 appropriate, to standard practices of agencies of the federal 151 government. 152

(b) With respect to each student entering kindergarten,
whether the student previously participated in a public
preschool program, a private preschool program, or a head start
program, and the number of years the student participated in
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each of these programs.

(4) Any data required to be collected pursuant to federal158law.

(C) The education management information system shall
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include cost accounting data for each district as a whole and
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for each school building in each school district. The guidelines
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adopted under this section shall require the cost data for each163school district to be maintained in a system of mutually164exclusive cost units and shall require all of the costs of each165school district to be divided among the cost units. The166guidelines shall require the system of mutually exclusive cost167units to include at least the following:168

(1) Administrative costs for the school district as a
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whole. The guidelines shall require the cost units under this
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division (C) (1) to be designed so that each of them may be
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compiled and reported in terms of average expenditure per pupil
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in formula ADM in the school district, as determined pursuant to
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section 3317.03 of the Revised Code.

(2) Administrative costs for each school building in the
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school district. The guidelines shall require the cost units
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under this division (C) (2) to be designed so that each of them
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may be compiled and reported in terms of average expenditure per
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full-time equivalent pupil receiving instructional or support
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services in each building.

(3) Instructional services costs for each category of 181 instructional service provided directly to students and required 182 by guidelines adopted pursuant to division (B)(1)(a) of this 183 section. The guidelines shall require the cost units under 184 division (C)(3) of this section to be designed so that each of 185 them may be compiled and reported in terms of average 186 expenditure per pupil receiving the service in the school 187 district as a whole and average expenditure per pupil receiving 188 the service in each building in the school district and in terms 189 of a total cost for each category of service and, as a breakdown 190 of the total cost, a cost for each of the following components: 191

(a) The cost of each instructional services category

required by guidelines adopted under division (B)(1)(a) of this section that is provided directly to students by a classroom teacher;

(b) The cost of the instructional support services, such
as services provided by a speech-language pathologist, classroom
aide, multimedia aide, or librarian, provided directly to
students in conjunction with each instructional services
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category;

(c) The cost of the administrative support services
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related to each instructional services category, such as the
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cost of personnel that develop the curriculum for the
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instructional services category and the cost of personnel
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supervising or coordinating the delivery of the instructional
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services category.

(4) Support or extracurricular services costs for each 207 category of service directly provided to students and required 208 by guidelines adopted pursuant to division (B)(1)(b) of this 209 section. The guidelines shall require the cost units under 210 division (C)(4) of this section to be designed so that each of 211 them may be compiled and reported in terms of average 212 expenditure per pupil receiving the service in the school 213 district as a whole and average expenditure per pupil receiving 214 the service in each building in the school district and in terms 215 of a total cost for each category of service and, as a breakdown 216 of the total cost, a cost for each of the following components: 217

(a) The cost of each support or extracurricular services
category required by guidelines adopted under division (B) (1) (b)
of this section that is provided directly to students by a
licensed employee, such as services provided by a guidance
counselor or any services provided by a licensed employee under
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a supplemental contract;

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(b) The cost of each such services category provided	224
directly to students by a nonlicensed employee, such as	225
janitorial services, cafeteria services, or services of a sports	226
trainer;	227

(c) The cost of the administrative services related to
each services category in division (C) (4) (a) or (b) of this
section, such as the cost of any licensed or nonlicensed
employees that develop, supervise, coordinate, or otherwise are
involved in administering or aiding the delivery of each
services category.

(D) (1) The guidelines adopted under this section shall 234 require school districts to collect information about individual 235 students, staff members, or both in connection with any data 236 required by division (B) or (C) of this section or other 237 reporting requirements established in the Revised Code. The 238 guidelines may also require school districts to report 239 information about individual staff members in connection with 240 any data required by division (B) or (C) of this section or 241 other reporting requirements established in the Revised Code. 242 The guidelines shall not authorize school districts to request 243 social security numbers of individual students. The quidelines 244 shall prohibit the reporting under this section of a student's 245 name, address, and social security number to the state board of 246 education or the department of education. The quidelines shall 247 also prohibit the reporting under this section of any personally 248 identifiable information about any student, except for the 249 purpose of assigning the data verification code required by 250 division (D)(2) of this section, to any other person unless such 251 person is employed by the school district or the information 252

technology center operated under section 3301.075 of the Revised 253 Code and is authorized by the district or technology center to 254 have access to such information or is employed by an entity with 255 which the department contracts for the scoring or the 256 development of state assessments. The guidelines may require 2.57 school districts to provide the social security numbers of 258 individual staff members and the county of residence for a 259 student. Nothing in this section prohibits the state board of 260 education or department of education from providing a student's 261 county of residence to the department of taxation to facilitate 262 the distribution of tax revenue. 263

(2) (a) The guidelines shall provide for each school 264 district or community school to assign a data verification code 265 that is unique on a statewide basis over time to each student 266 whose initial Ohio enrollment is in that district or school and 267 to report all required individual student data for that student 2.68 utilizing such code. The guidelines shall also provide for 269 assigning data verification codes to all students enrolled in 270 districts or community schools on the effective date of the 271 quidelines established under this section. The assignment of 272 data verification codes for other entities, as described in 273 division (D)(2)(c) of this section, the use of those codes, and 274 the reporting and use of associated individual student data 275 shall be coordinated by the department in accordance with state 276 and federal law. 277

School districts shall report individual student data to278the department through the information technology centers279utilizing the code. The entities described in division (D)(2)(c)280of this section shall report individual student data to the281department in the manner prescribed by the department.282

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Except as provided in sections 3301.941, 3310.11, 3310.42, 283 3310.63, 3313.978, and 3317.20 of the Revised Code, at no time 284 shall the state board or the department have access to 285 information that would enable any data verification code to be 286 matched to personally identifiable student data. 287

(b) Each school district and community school shall ensure 288 that the data verification code is included in the student's 289 records reported to any subsequent school district, community 290 school, or state institution of higher education, as defined in 291 section 3345.011 of the Revised Code, in which the student 292 enrolls. Any such subsequent district or school shall utilize 293 the same identifier in its reporting of data under this section. 294

(c) The director of any state agency that administers a 295 publicly funded program providing services to children who are 296 younger than compulsory school age, as defined in section 297 3321.01 of the Revised Code, including the directors of health, 298 job and family services, mental health and addiction services, 299 and developmental disabilities, shall request and receive, 300 pursuant to sections 3301.0723 and 3701.62 of the Revised Code, 301 a data verification code for a child who is receiving those 302 303 services.

(E) The quidelines adopted under this section may require 304 school districts to collect and report data, information, or 305 reports other than that described in divisions (A), (B), and (C) 306 of this section for the purpose of complying with other 307 reporting requirements established in the Revised Code. The 308 other data, information, or reports may be maintained in the 309 education management information system but are not required to 310 be compiled as part of the profile formats required under 311 division (G) of this section or the annual statewide report 312

required under division (H) of this section.

(F) Beginning with the school year that begins July 1, 314 1991, the board of education of each school district shall 315 annually collect and report to the state board, in accordance 316 with the guidelines established by the board, the data required 317 pursuant to this section. A school district may collect and 318 report these data notwithstanding section 2151.357 or 3319.321 319 of the Revised Code. 320

(G) The state board shall, in accordance with the 321 procedures it adopts, annually compile the data reported by each 322 school district pursuant to division (D) of this section. The 323 state board shall design formats for profiling each school 324 district as a whole and each school building within each 325 district and shall compile the data in accordance with these 326 formats. These profile formats shall: 327

(1) Include all of the data gathered under this section in 328 a manner that facilitates comparison among school districts and 329 among school buildings within each school district; 330

(2) Present the data on academic achievement levels as 331 332 assessed by the testing of student achievement maintained pursuant to division (B)(1)(d) of this section. 333

(H)(1) The state board shall, in accordance with the 334 procedures it adopts, annually prepare a statewide report for 335 all school districts and the general public that includes the 336 profile of each of the school districts developed pursuant to 337 division (G) of this section. Copies of the report shall be sent to each school district. 339

(2) The state board shall, in accordance with the 340 procedures it adopts, annually prepare an individual report for 341

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each school district and the general public that includes the342profiles of each of the school buildings in that school district343developed pursuant to division (G) of this section. Copies of344the report shall be sent to the superintendent of the district345and to each member of the district board of education.346

(3) Copies of the reports received from the state board 347 under divisions (H)(1) and (2) of this section shall be made 348 available to the general public at each school district's 349 offices. Each district board of education shall make copies of 350 each report available to any person upon request and payment of 351 a reasonable fee for the cost of reproducing the report. The 352 board shall annually publish in a newspaper of general 353 circulation in the school district, at least twice during the 354 two weeks prior to the week in which the reports will first be 355 available, a notice containing the address where the reports are 356 available and the date on which the reports will be available. 357

(I) Any data that is collected or maintained pursuant to
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 this section and that identifies an individual pupil is not a
 public record for the purposes of section 149.43 of the Revised
 Code.
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(J) As used in this section:

(1) "School district" means any city, local, exempted
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village, or joint vocational school district and, in accordance
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with section 3314.17 of the Revised Code, any community school.
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As used in division (L) of this section, "school district" also
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includes any educational service center or other educational
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entity required to submit data using the system established
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under this section.

(2) "Cost" means any expenditure for operating expenses

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made by a school district excluding any expenditures for debt 371
retirement except for payments made to any commercial lending 372
institution for any loan approved pursuant to section 3313.483 373
of the Revised Code. 374

(K) Any person who removes data from the information 375
system established under this section for the purpose of 376
releasing it to any person not entitled under law to have access 377
to such information is subject to section 2913.42 of the Revised 378
Code prohibiting tampering with data. 379

(L) (1) In accordance with division (L) (2) of this section 380 and the rules adopted under division (L) (10) of this section, 381 the department of education may sanction any school district 382 that reports incomplete or inaccurate data, reports data that 383 does not conform to data requirements and descriptions published 384 by the department, fails to report data in a timely manner, or 385 otherwise does not make a good faith effort to report data as 386 required by this section. 387

(2) If the department decides to sanction a schooldistrict under this division, the department shall take thefollowing sequential actions:390

(a) Notify the district in writing that the department has 391 determined that data has not been reported as required under 392 this section and require the district to review its data 393 submission and submit corrected data by a deadline established 394 by the department. The department also may require the district 395 to develop a corrective action plan, which shall include 396 provisions for the district to provide mandatory staff training 397 on data reporting procedures. 398

(b) Withhold up to ten per cent of the total amount of

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state funds due to the district for the current fiscal year and, 400 if not previously required under division (L)(2)(a) of this 401 section, require the district to develop a corrective action 402 plan in accordance with that division; 403 (c) Withhold an additional amount of up to twenty per cent 404 of the total amount of state funds due to the district for the 405 current fiscal year; 406 (d) Direct department staff or an outside entity to 407 investigate the district's data reporting practices and make 408 recommendations for subsequent actions. The recommendations may 409 include one or more of the following actions: 410 (i) Arrange for an audit of the district's data reporting 411 practices by department staff or an outside entity; 412

(ii) Conduct a site visit and evaluation of the district; 413

(iii) Withhold an additional amount of up to thirty per 414 cent of the total amount of state funds due to the district for 415 the current fiscal year; 416

(iv) Continue monitoring the district's data reporting; 417

(v) Assign department staff to supervise the district's 418 419 data management system;

(vi) Conduct an investigation to determine whether to 420 suspend or revoke the license of any district employee in 421 accordance with division (N) of this section; 422

(vii) If the district is issued a report card under 423 section 3302.03 of the Revised Code, indicate on the report card 424 that the district has been sanctioned for failing to report data 425 as required by this section; 426

(viii) If the district is issued a report card under
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section 3302.03 of the Revised Code and incomplete or inaccurate
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data submitted by the district likely caused the district to
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receive a higher performance rating than it deserved under that
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section, issue a revised report card for the district;
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(ix) Any other action designed to correct the district's data reporting problems.

(3) Any time the department takes an action against a
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school district under division (L) (2) of this section, the
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department shall make a report of the circumstances that
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prompted the action. The department shall send a copy of the
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report to the district superintendent or chief administrator and
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maintain a copy of the report in its files.
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(4) If any action taken under division (L)(2) of this 440 section resolves a school district's data reporting problems to 441 the department's satisfaction, the department shall not take any 442 further actions described by that division. If the department 443 withheld funds from the district under that division, the 444 department may release those funds to the district, except that 445 if the department withheld funding under division (L)(2)(c) of 446 this section, the department shall not release the funds 447 withheld under division (L)(2)(b) of this section and, if the 448 department withheld funding under division (L)(2)(d) of this 449 section, the department shall not release the funds withheld 450 under division (L)(2)(b) or (c) of this section. 451

(5) Notwithstanding anything in this section to the
contrary, the department may use its own staff or an outside
entity to conduct an audit of a school district's data reporting
practices any time the department has reason to believe the
district has not made a good faith effort to report data as

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required by this section. If any audit conducted by an outside 457 entity under division (L)(2)(d)(i) or (5) of this section 458 confirms that a district has not made a good faith effort to 459 report data as required by this section, the district shall 460 reimburse the department for the full cost of the audit. The 461 department may withhold state funds due to the district for this 462 purpose. 463

(6) Prior to issuing a revised report card for a school 464 district under division (L)(2)(d)(viii) of this section, the 465 466 department may hold a hearing to provide the district with an 467 opportunity to demonstrate that it made a good faith effort to report data as required by this section. The hearing shall be 468 conducted by a referee appointed by the department. Based on the 469 information provided in the hearing, the referee shall recommend 470 whether the department should issue a revised report card for 471 the district. If the referee affirms the department's contention 472 that the district did not make a good faith effort to report 473 data as required by this section, the district shall bear the 474 full cost of conducting the hearing and of issuing any revised 475 report card. 476

(7) If the department determines that any inaccurate data
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reported under this section caused a school district to receive
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excess state funds in any fiscal year, the district shall
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reimburse the department an amount equal to the excess funds, in
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accordance with a payment schedule determined by the department.
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The department may withhold state funds due to the district for
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this purpose.

(8) Any school district that has funds withheld under
division (L)(2) of this section may appeal the withholding in
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accordance with Chapter 119. of the Revised Code.
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(9) In all cases of a disagreement between the department
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and a school district regarding the appropriateness of an action
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taken under division (L) (2) of this section, the burden of proof
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shall be on the district to demonstrate that it made a good
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faith effort to report data as required by this section.

(10) The state board of education shall adopt rules under
Chapter 119. of the Revised Code to implement division (L) of
this section.

(M) No information technology center or school district
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shall acquire, change, or update its student administration
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software package to manage and report data required to be
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reported to the department unless it converts to a student
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software package that is certified by the department.

(N) The state board of education, in accordance with 500 sections 3319.31 and 3319.311 of the Revised Code, may suspend 501 or revoke a license as defined under division (A) of section 502 3319.31 of the Revised Code that has been issued to any school 503 district employee found to have willfully reported erroneous, 504 inaccurate, or incomplete data to the education management 505 information system. 506

(O) No person shall release or maintain any information 507
 about any student in violation of this section. Whoever violates 508
 this division is guilty of a misdemeanor of the fourth degree. 509

(P) The department shall disaggregate the data collected
 under division (B)(1)(n) of this section according to the race
 and socioeconomic status of the students assessed.
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(Q) If the department cannot compile any of the
information required by division (H) of section 3302.03 of the
Revised Code based upon the data collected under this section,
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the department shall develop a plan and a reasonable timeline 516 for the collection of any data necessary to comply with that 517 division. 518

Section 2. That existing section 3301.0714 of the Revised 519 Code is hereby repealed. 520

Section 3. The Department of Education shall prepare a 521 report of the information maintained in the Education Management 522 Information System that relates to persons at whom violent 523 student behavior resulting in reported disciplinary actions was 524 directed as required by division (B)(1)(o) of section 3301.0714 525 of the Revised Code, as amended by this act, for the first two 526 school years following the effective date of this section. Not 527 later than the first day of October that next succeeds the final 528 day of the second school year following the effective date of 529 this section, the Department shall submit the report prepared 530 under this section to the President and Minority Leader of the 531 Senate, Speaker and Minority Leader of the House of 532 Representatives, and the chairpersons and ranking minority 533 members of the standing committees on education of the Senate 534 and House of Representatives. 535