January 17th 2022

Chairman Scott Lipps Ohio House of Representatives Health Committee

Chairman Lipps, Vice Chair Holmes, Ranking Member Russo, and members of the Ohio House Health Committee:

Thank you for letting me speak here today in regards to House Bill 60, which would allow autistic people to qualify for medical cannabis in Ohio. I have read through every comment thus far submitted to this committee in regards to this bill. I applaud the parents, doctors and advocates who have given testimony in support of this bill.

If you listen carefully, there is one voice noticeably absent from this discussion and that is the voice of an autistic person. I am here today to be that voice.

I am a 36 year old, principal software engineer with a career spanning over 15 years. I have built software that has supported the warfighter for our missions in Iraq and Afghanistan. I have designed and architected software that supports paramedics, EMTs and first responders which is used by emergency services throughout the state. I served as the chief architect and technical lead at JP Morgan Chase on their global system which automates the management and oversight for roughly 30,000 firewalls across the globe. If you bank at Chase, the software I designed is one of a handful that sits between your bank account and hackers who want your money. My work in interactive media is featured in the Kalamazoo Valley Museum, The Oklahoma Hall of Fame, the Canadian Science and Technology Museum, and Columbus's own Center of Science and Industry. In the last year, I along with hundreds of other developers helped build the software system that the U.S. Department of Health and Human Services uses to track and predict the spread of COVID-19 across the entire country.

I am also Autistic. At the age of 14, I was diagnosed with moderate-to-severe Asperger's disorder and Pervasive Development Disorder – Not Otherwise Specified (PDD-NOS). Today these two conditions are known as Autism Spectrum Disorder.

I have struggled my entire life with this. There is no cure. Like many people on the spectrum I have experienced intense physical and emotional trauma at the hands of doctors, psychiatrists, therapists, social workers, and others. I'd like to tell you some stories about how modern medicine "helped" me.

## This is my story

When I was 11-13 years old I was forced to take an ungodly amount of medication. I was diagnosed by the experts with everything under the sun. At one point or another the doctors, therapists, and psychiatrists diagnosed me with Bipolar Disorder, ADHD, Oppositional Defiant Disorder, Major Depressive Disorder, and a few others I don't remember. From the ages of 8-13 I was prescribed Zoloft, Effexor, Risperdal, Paxil, Dexedrine, Lithium, Prozac, Wellbutrin, and many others I don't remember.

One thing that I do remember is waking up in the morning one day, going downstairs to my grandmother's kitchen, opening up my pill organizer which my mother prepared daily and dumping them all out in frustration on the table. I counted them. For that one day I was scheduled to take fourteen different pills. I remember that number to this day.

## FOURTEEN.

I was forced to take FOURTEEN powerful, psychotropic pills EACH DAY from licensed, expert doctors with years of experience. Doctors just like those from Nationwide Children's Hospital. It broke my heart when I read the testimony of Ms. Fessel on her experiences with medicating her child. Pills and then pills to counteract the pills, and then pills to balance out those pills. Her experience mirrors my mother's. Her 10 year old's experience mirrors my own.

I ask you, does pumping a kid full of pills in this way sound like medicine to you? Because, it sounds a lot like abuse to me. It certainly felt like abuse to me when I was the 10 year old getting pumped full of pills. Of the countless pills I was fed as a child, I never once believed or felt that it helped me.

I ask, how many prescription drugs do the doctors from Nationwide Children's Hospital prescribe to the children under their care? How many pills do they stuff down the throats of the children they treat? I certainly hope that in the 22 years that have passed since I counted out fourteen pills strewn across my grandmother's kitchen table that it's a hell of a lot less today. Sadly, the testimony of Ms. Fessel indicates otherwise.

At some point during my youth, my mother could no longer afford my treatment and gave me up to the state. Eventually I ended up in foster care, where I was violently abused. I went to another family shortly after which also abused me. One day, the matriarch of my second foster care family thought it appropriate to punish me by locking me outside in the dead of winter, snow on the ground, without shoes or a coat. Her name was Joy Jackson and she is a child abuser. I don't know how many children Ms. Jackson has abused or neglected since.

The day I was locked outside is only day I genuinely tried to commit suicide, twice. First, I climbed onto I-270 and ran in front of a semi truck, which stopped just in time to save my life. Then when the police arrived I drew my wallet from my back pocket pretending it was a gun. To this day, I have no idea how I survived. I must have been unconvincing. I spent 3 months in the Franklin county juvenile detention facility for that while the state figured out what to do with me.

Eventually it was decided that I should go to an in-patient long-term-care facility called Fox Run near Chillicothe, Ohio. Upon entering the facility I was forcibly stripped of my clothes and strapped down to a hard wooden table. I could not lift my head, my feet, or my arms. I spent hours there crying in nothing but my underwear. I pissed myself on that table and laid there for at least another hour festering in my own urine.

This is how doctors and caregivers really treat autistic people. I am sure, if pressed, they will find some way to justify this. A protocol followed, a policy or rule enforced. "There was no other way", they'll say. You tell me! When is it appropriate to forcibly strip a child of their clothing and bind them to a hard wooden table? How would you justify that?

In the 3 months I spent at Fox Run I never once met with the psychiatrist in charge. I did however meet with various therapists and social workers. Eventually, it was decided that I should be removed from all of my medication as quickly as possible. Despite the risk of seizure, liver and kidney damage they stopped my medication suddenly and completely.

I spent 2 weeks twirling an unsharpened golf-sized pencil between my fingers staring at nothing at all. It felt like eternity. I think I vomited a few times along the way. I don't remember much. Withdrawal is rough. I gotta tell ya, when you take a kid with an underdeveloped brain from fourteen pills of powerful, psychotropic, antipsychotic pills a day, down to zero it is one hell of a ride.

I am sure that the doctors from Nationwide Children's Hospital would all agree that this course of action was highly-inadvisable and dangerous. After all, I don't know of a single double-blind placebo based randomized trial that studies the effects of suddenly stopping such heroic doses of antipsychotics in children. You see, despite their objections that the "science is not yet settled" on Autism and Cannabis, doctors and clinicians often engage in dangerous treatments with limited scientific evidence or support. And that makes sense, because you cannot block every pathway to treatment simply because it is an active area of research. Every doctor, therapist, scientist, clinician, and expert makes calculated decisions based on the evidence at hand and balances it against the risks involved.

In my case, the benefits turned out to outweigh the risks. You see, after evaluating me without medication for some time, these new experts at Fox Run discovered I wasn't bi-polar after all! I didn't have ADHD, ODD, or any other condition the previous experts diagnosed me with.

It turns out I was autistic! Oh! What a discovery!

To confirm the diagnosis, I was referred to the OSU Medical Center. I underwent fMRI brain imaging and evaluation by a new panel of experts and it was agreed that I was in-fact autistic. And so my journey to recovery began!

Shortly thereafter, through various lengthy court proceedings it was determined that I would spend 6 months at Parmadale in their intensive treatment wing to undergo applied behavioral analysis with a focus on social skills development.

My 6 months there were not entirely rosy, but for the first time in my life, rather than being prescribed drugs, sedated, abused and treated like a lab rat by doctors who worship at the altar of the pharmaceutical companies, they finally sat down with me and explained to me what I was doing wrong. They answered all my questions.

## Questions like:

Why do people behave like they do? Why is it so hard for me to make friends? Why did this person get offended? Why are people calling me weird all the time? When do you shake hands? How do you dress? How do you say hello? How are you supposed to look at other people? What does "what's up" mean? Why does waving your arms and rocking in your chair make people upset? Why is it bad to smile at a funeral if we're happy? Why is it that when I am honest, I make people upset?

Parmadale was far from perfect, but I attribute my recovery and success to their treatment plan and education strategy which overwhelmingly favored social skills development.

Shortly after I left Parmadale, I ended up at a public school for kids with behavioral problems here in Columbus where I was once again bullied, and abused by my peers and the adults that were supposed to be there to protect me.

A handful of months later, I decided to go live with my dad in Indiana so that I could attend a different school whereupon I promptly got myself expelled within my first year. Luckily, they let me study outside of school –

which I excelled at – and after realizing I was autistic and at the behest of my father they let me re-enroll the following year.

Two years later I graduated with honors. I went onto college where I graduated near the top of my class. I have done my best, to lead the best life I possibly can. I think I've done about as well as anyone could do. Due to my disability, I've been fired from a job on 3 separate occasions, passed over for promotions more times than I can count, and in the midst of the pandemic I was forced to vacate my apartment due to communication issues with my landlord, and which resulted in my 3rd failed relationship.

To quote one of the strongest women I know, "I'm used to it, by now".

I illustrate my ongoing struggles as an adult to dispel the myth that I am "high functioning". I am not high functioning. I struggle every single day. I often make massive mistakes, misreads, and social faux-pas due to my disability which can and do result in dire consequences. I am just lucky and fortunate enough that I have skills that are considered of high value to people without autism and which force them to deal with my quirks and issues. Most autistic people are not so lucky.

I am not high functioning. I am just skating by on luck.

I believe in my heart of hearts that caregivers, clinicians, therapists and doctors who treat people like me are overwhelmingly abusive to their patients. I think this, because I lived it. You cannot imagine the level of trauma that autistic children face at the hands of the people who are supposed to help them. Part of me hopes that maybe I just had a bad run of it; that I was unlucky. But as I've grown older and had discussions with other autistic people I have heard stories much worse than mine.

We force autistic people to behave and to communicate like non-autistic people and when we don't do what they expect, they drug us, sedate us, and lock us in rooms stripped of our clothes and our humanity. They say we don't have empathy. They are wrong.

We spend our entire lives seeking to understand the vagaries of how non-autistic people act, think and communicate. The onus to adapt and modify behavior is on us, because if we don't we are isolated, harassed, bullied, medicated, committed, and abused. We are forced to "mask and pass" using elaborate rule systems in order to maintain any acceptable standard of living and yet when you put a bunch of autistic people in the same room we communicate with each other just fine. We empathize with each other just fine. We understand each other just fine. We only struggle when we have to communicate with a person who is not autistic.

Today we know this as the "double empathy problem" and in my opinion it is the most correct theory that we have for what autism actually is. Namely, what is obvious to you isn't obvious to me and what is obvious to me is not obvious to you. Rather than celebrate this diversity in how we think we suppress everything it means to be autistic.

Non-autistic people dominate the conversation around autism. Time and time again our voices are silenced, overruled, dismissed, degraded and discarded. In the best of days our tone is policed, our approach is criticized and our words are ignored. Our doctors, caregivers, therapists, social workers, and peers continually fail to empathize with us. Despite my personal misgivings for how <u>historically</u> they have treated autistic people, I am a firm believer in the scientific method.

I agree with the doctors from Nationwide Children's Hospital that we need more research in this area. A lot more. We need more "gold-standard" placebo-controlled randomized trials. Still, there is a mountain of evidence piling up behind Cannabis for the treatment of autism and while these doctors look down upon us from their ivory towers, we – the autistic community – continue to suffer.

An academic review of 13 studies by Fletcher et al in August 2021 saw benefits in 61% to 93% of cases!

To quote the results of that study:

We identified eight completed and five ongoing studies meeting the inclusion criteria. <u>All</u> <u>studies</u> reported substantial behaviour and symptom improvement on medicinal cannabis, with 61% to 93% of subjects showing benefit. In the three studies reporting on concomitant psychotropic medication usage and with cannabis use, <u>up to 80% of participants observed a</u> <u>reduction in concurrent medication use</u>.

In the testimony submitted by the doctors from Nationwide Children's hospital to the state medical board and to this committee they commented on their deep concern for the lack of double-blind, placebo-controlled randomized trials. In their letter they reference just such a study by Dr. Adi Aran and his team at Shaare Zedek Medical Center in Israel featuring 150 participants. Dr. Vandana, Patel and Newmeyer were mistaken in their belief that Dr. Aran and his team were studying the "long term effects of CBD" or that this study had yet to be completed or published.

In fact, Dr. Aran and his team published the results of this double-blind, placebo-controlled randomized trial featuring 150 participants in Feburary 2021, months before these doctors from Nationwide Children's Hospital submitted their testimony to this committee. The truth is that their study tested the effects of whole plant extract of CBD and THC at a 20:1 ratio, purified CBD and THC at the same ratio, and a placebo.

This "gold-standard" study **was available and published long before** the doctors from Nationwide Children's Hospital submitted their erroneous report to this committee. I have the results of that trial right here.

I encourage you to read the study, but basically what this study says is that clinicians administering and monitoring the participants saw improvement of disruptive behavior in 49% of participants who were administered the whole plant extract, where the placebo control only saw improvement in 21% of participants. This is a statistically significant result (n=47; p=0.005). Additionally, the severity of the types of social impairment which is characteristic in autism (the SRS-2 is focused exclusive on autism) saw an improvement of 14.9 points (n=34) in participants who were administered the whole plant extract, where the placebo control only saw improvement by 3.6 points (n=36). This is also a statistically significant result (p=0.009).

This study says, that when CBD <u>and</u> THC is administered to autistic children at a 20:1 ratio as whole plant extract, it reduces disruptive behaviors and decreases social impairments and that **the probability that this was <u>not</u> caused by any other effect, including the placebo effect is over 99.1%.** 

From my own personal experience, Cannabis is a wonder-drug. I have noticed that even at low (slightly psychoactive or sub-psychoactive doses) my social impairment decreases dramatically and communicating with others becomes much more natural. With responsible use, I have seen a notable decrease in sensory overload

issues. I stim less. I get less headaches. My stomach feels better. My bowel movements are healthier. My sleep quality has improved.

I consume cannabis every single day. I rarely get "high" from it. To remain functional I engage in responsible sub-psychoactive use most of the time. I take a risk every day that I consume Cannabis. I could be arrested. I could lose my job. I could go to jail. And yet, I persist in doing it because it substantially improves my quality of life.

Opponents of medical cannabis for the treatment of autism often point to various side-effects and drawbacks, including memory loss. You know what else has these side-effects? FDA approved, expert prescribed antipsychotics and antidepressants which to this day are **still** irresponsibly and abusively over-prescribed to children. Because of these drugs, I remember very little about my life from the ages of 8-14. Most of my memories from that time are overwhelmingly traumatic. I believe that had I had access to Cannabis back then I would have much more fulfilling memories to share with you today. Sadly, they are just not there.

I hear the doctors warn of caution. It is easy to raise concerns. There will always be concerns. There will always be room for more studies. Cannabis is not without it's side-effects. No drug is completely safe. But we now have incontrovertible scientific evidence that shows that Cannabis is an effective treatment for autism. Parents and patients should weigh the risks and benefits **with their doctors**. The doctors from Nationwide Children's Hospital, are well within their right to advise their patients how they see fit, <u>but I am not **their** patient</u>.

Denying autistic people access to this life-changing, life-saving medication is morally bankrupt and inexcusable. At the end of the day, I have a right to pursue this treatment with **my** doctor.

I implore all of you to do the right thing, and pass this bill!

Thank you. I welcome any questions the committee has.

# "Alone"

## By Edgar Allan Poe

From childhood's hour I have not been As others were-I have not seen As others saw—I could not bring My passions from a common spring-From the same source I have not taken My sorrow-I could not awaken My heart to joy at the same tone-And all I lov'd—I lov'd alone— Then-in my childhood-in the dawn Of a most stormy life-was drawn From ev'ry depth of good and ill The mystery which binds me still-From the torrent, or the fountain-From the red cliff of the mountain-From the sun that 'round me roll'd In its autumn tint of gold-From the lightning in the sky As it pass'd me flying by-From the thunder, and the storm-And the cloud that took the form (When the rest of Heaven was blue) Of a demon in my view-

TABLE 1 Study ch	naracteristics of included complet	ted studies					
Author (date)	Sample size	% with autism	Age	Design	Length of study	Preparation; produ	it.
Aran et al. (2018) Aran et al. (2019)	57	100%	5-17.5 years	Retrospective feasibility study	7-13 months	Nonpharmaceutica Whole plant extrac sublingually. If ineffective, lower	l standardized. :t in olive oil, CBD:THC 20:1; given r ratios tried, up to 6:1 CBD:THC.
Barchel et al. (2019)	53	100%	4-22 years	Open-label observational study	31 to 588 days (median 66)	Nonpharmaceutica Cannabidiol oil, 30	l standardized. % concentration, 20:1 CBD:THC
Bar-Lev Schleider et al. (2019)	188 (93 for 6 month outcom data)	e 100%	Mean 12.9 ± 7 years	Prospective observational study	6 months	Unknown. Sublingual oil, 30%	CBD and 1.5% THC (20:1 CBD:THC)
Fleury-Teixeira et al. (2	19) 15	100%	6-17 years	Open-label observational	6–9 months	Nonpharmaceutica Cannabis extract in	l standardized. i oral capsules; ~75:1 CBD:THC
Gaillard (2019)	1	100	5 years	Case study	2 years	Artisanal Hemp-extracted Cl sublingual oil	BD with 0.005% THC; given as
Kruger and Christopher (2006)	sen 10	50%	13-16 years	Open-label prospective	6 months	Pharmaceutical. Dronabinol	
Kuester et al. (2017)	21	100%	26 months to 22 years	Retrospective review	3-12 months	Unknown. Sublingual whole p balanced CBD:T	lant extract; ratio not controlled (72% HC, 19% high CBD, 9% high THC)
Kurz and Blaas (2010)	1	100%	6 years old	Case study	6 months	Pharmaceutical. Dronabinol drops (	dissolved in sesame oil)
TABLE 1 Continu	pa						
Author (date)	Daily dose	Measures		Findings	Adverse events		Discontinuation rates
Aran et al. (2018) Aran et al. (2019)	For three doses (n = 44): CBD: 3.8 ± 2.6 mg/kg THC: 0.29 ± 0.22 mg/kg For two doses (n = 16): CBD: 1.8 ± 1.6 mg/kg THC: 0.22 ± 14 mg/kg	Symptom severity a	nd QoL scales	Considerable improvement in behaviou problems (61%), anxiety (39%), communication problems (47%). Concomitant medications: 33% receiver fewer or lower doses, 24% stopped entirely	<ul> <li>Sleep disturbances (19%), nervousness appetite (9%), Gl s unexplained laugh changes (5%), fatig nocturnal enuresis appetite (3.5%), weight gain (3.5%) (3.5%), tremor (3.5%) (2%), anxiety (2%) cough (2%)</li> </ul>	<ul> <li>(14%), restlessness</li> <li>(9%), loss of</li> <li>ymptoms (7%), (7%), mood</li> <li>(3.5%), guain of</li> <li>eight loss (3.5%),</li> <li>eight loss (3.5%),</li> <li>enfusion (2%),</li> <li>tenticipant)</li> </ul>	27% (3 of whom were excluded from analysis) 1-Unable to give oil 3-Side effects 5-Low efficacy 7-Low efficacy and side effects
Barchel et al. (2019)	Median dose (IQR): CBD: 90 (45-153) mg THC: 7 (4-11) mg	4 ASD comorbidity hyperactivity, sle anxiety	symptoms: ep, self-injury,	Overall: 75% improved, 22% no chance 4% worsened.	Somnolence (12), apl (6), appetite increa (2), abnormal resp temperature (2), e <sup>-</sup>	oetite decrease ise (4), insomnia onse to ye blinking (2),	5 total (9%) 2—Low efficacy 3—Changed medical cannabis supplier or license expired

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Author (date)	Daily dose	Measures	Findings	Adverse events	Discontinuation rates
			Improvement by symptom: 68% hyperactivity, 68% self-injury, 71% sleep, anxiety 47%	diarrhoea (2), hair loss (1), nausea (1), confusion (1), acne (1), palpitations (1), urinary incontinence (1), eye redness (1), constipation (1)	
Bar-Lev Schleider et al. (2019)	Average dose: CBD: 79.5 ± 61.5 mg THC: 4.0 ± 3.0 mg If insomnia present: Additional 5 ± 4.5 mg THC in evening	Symptom improvement QoL, mood, ability to perform ADLs	30% significant improvement, 53% moderate improvement, 6% slight improvement, 9% no change. Statistically significant increase in QoL, positive mood, ADLs and sleep. Concomitant medication use: 34% decrease	Restlessness (6), sleepiness (3), psychoactive effect (3), increased appetite (3), digestion problem (3), dry mouth (2), lack of appetite (2)	23 total discontinued (12%); 12 due to no therapeutic effect, 5 due to side effects, 6 unknown
Fleury-Teixeira et al. (2019)	Final dose: CBD: 3.75 to 6.45 mg/ kg	Symptom severity: ADHD, behaviour, motor, autonomy, communication and social interaction, cognition, sleep, seizures	93% had improvement in at least one symptom category, 47% had improvement in 4+ symptom categories Concomitant medications: 80% decreased or stopped entirely	Out of patients that completed: Sleepiness (3), irritability (2), diarrhoea (1), increased appetite (1), conjunctival hyperaemia (1), increased body temperature (1), nocturia (2). Patients that stopped: insomnia, irritability, increased HR, worsening psycho-behavioural crisis	<ul> <li>3 stopped within 1 month due to adverse events (excluded from analysis)</li> <li>1 stopped at 6 months due to worsening of psycho-behavioural crisis</li> <li>Total = 27%</li> </ul>
Gaillard (2019)	60-mg CBD	Symptom severity and participation in activities	Reduced need from 1:1 support to full school without support, improved sleep, focus, attention, reduced anxiety and problem behaviours	None reported.	N/A
Kruger and Christophersen (2006)	0.14-0.36 mg/kg	Improvement, side effects	70% had significant improvement in self- injurious behaviour and overall mood	Increased appetite (2 out of 7), agitation (2 out of 10)	3 (30%); 2 due to increased agitation, 1 due to change in living situation
Kuester et al. (2017)	Not reported	Symptom severity scales	67% had significant improvements in at least one of the core symptoms of ASD	Well tolerated. More agitation (2) patients and irritability (1) resolved by changing strain	Not reported
Kurz and Blaas (2010)	3.72 mg	Symptom severity scales	Improvement in hyperactivity, lethargy, irritability, stereotypic behaviour, inappropriate speech	None reported	N/A

TABLE 1 (Continued)

## RESEARCH

## **Open Access**

# Cannabinoid treatment for autism: a proof-of-concept randomized trial



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## Abstract

**Background:** Endocannabinoid dysfunction in animal models of autism spectrum disorder (ASD) and accumulating, albeit anecdotal, evidence for efficacy in humans motivated this placebo-controlled double-blind comparison of two oral cannabinoid solutions in 150 participants (age 5–21 years) with ASD.

**Methods:** We tested (1) BOL-DP-O-01-W, a whole-plant cannabis extract containing cannabidiol and  $\Delta$ 9-tetrahydrocannabinol at a 20:1 ratio and (2) BOL-DP-O-01, purified cannabidiol and  $\Delta$ 9-tetrahydrocannabinol at the same ratio. Participants (N = 150) received either placebo or cannabinoids for 12-weeks (testing efficacy) followed by a 4-week washout and predetermined cross-over for another 12 weeks to further assess tolerability.

Registered primary efficacy outcome measures were improvement in behavioral problems (differences between whole-plant extract and placebo) on the Home Situation Questionnaire-ASD (HSQ-ASD) and the Clinical Global Impression-Improvement scale with disruptive behavior anchor points (CGI-I). Secondary measures were Social Responsiveness Scale (SRS-2) and Autism Parenting Stress Index (APSI).

**Results:** Changes in Total Scores of HSQ-ASD (primary-outcome) and APSI (secondary-outcome) did not differ among groups. Disruptive behavior on the CGI-I (co-primary outcome) was either much or very much improved in 49% on whole-plant extract (n = 45) versus 21% on placebo (n = 47; p = 0.005). Median SRS Total Score (secondaryoutcome) improved by 14.9 on whole-plant extract (n = 34) versus 3.6 points after placebo (n = 36); p = 0.009). There were no treatment-related serious adverse events. Common adverse events included somnolence and decreased appetite, reported for 28% and 25% on whole-plant extract, respectively (n = 95); 23% and 21% on pure-cannabinoids (n = 93), and 8% and 15% on placebo (n = 94).

Limitations

Lack of pharmacokinetic data and a wide range of ages and functional levels among participants warrant caution when interpreting the results.

**Conclusions:** This interventional study provides evidence that BOL-DP-O-01-W and BOL-DP-O-01, administrated for 3 months, are well tolerated. Evidence for efficacy of these interventions are mixed and insufficient. Further testing of cannabinoids in ASD is recommended.

*Trial registration* ClinicalTrials.gov: NCT02956226. Registered 06 November 2016, https://clinicaltrials.gov/ct2/show/ NCT02956226

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**Keywords:** Autism spectrum disorder, Cannabinoids, Cannabidiol, Tetrahydrocannabinol, Clinical trials randomized controlled, Neuropsychology, Behavior, Child psychiatry, Developmental disorders, Entourage effect

## Background

There is no established pharmacological treatment for the core symptoms of autism spectrum disorder (ASD), persistent deficits in social communication, and repetitive, restrictive patterns of behavior [1]; the efficacy and tolerability of pharmacotherapies addressing comorbid disruptive behaviors are relatively low [2].

Consumption of cannabis is reported to enhance interpersonal communication [3] and decrease hostile feelings [4]. The main components of the cannabis plant (phytocannabinoids) are  $\Delta$ 9-tetrahydrocannabinol (THC) and cannabidiol (CBD). THC activates the type-1 cannabinoid receptor  $(CB_1R)$  in the brain; it is psychoactive and can lead to anxiety and psychosis [5]. CBD, on the other hand, is an allosteric modulator of the CB<sub>1</sub>R and might decrease the effects of CB<sub>1</sub>R agonists such as THC. It is not psychoactive and has a relatively high toxicity threshold [5]. While THC consumption, especially at a young age, can lead to addiction, cognitive decline, motivational loss, and psychosis, co-consumption of CBD might reduce these risks [6].

CBD also appears to have anxiolytic, antipsychotic, antiepileptic, and neuroprotective properties that may be mediated through receptors such as serotonin 5-HT<sub>1A</sub>, glycine  $\alpha$ 3 and  $\alpha$ 1, TRPV1, GPR55, GABA<sub>A</sub>, and PPAR<sub>Y</sub>, and by inhibiting adenosine reuptake [7–11]. A single oral administration of 600 mg CBD to 34 men (17 neurotypicals and 17 with ASD) increased prefrontal GABA activity in neurotypicals and decreased GABA activity in those with ASD [12].

Epidiolex is a cannabis-derived pure CBD compound which was approved by the U.S. FDA in 2018 for the treatment of two severe forms of epilepsy [13]. This may be relevant for patients with ASD, as 10–30% also have epilepsy, and several pathophysiological pathways are implicated in both disorders [11, 14].

The endocannabinoid system is a cell-signaling system composed of the cannabinoid receptors, their endogenous ligands *(endocannabinoids, mainly* anandamide and 2-AG), transporters, and enzymes which produce and degrade the endocannabinoids [15].

Studies in animal models suggest a reduced endocannabinoid tone in ASD [16–19]. Stimulation of the endocannabinoid system [16–19] and administration of CBD [17] have improved social deficits in some models. Additionally, children with ASD have been found to have lower peripheral endocannabinoid levels [20, 21].

These preclinical data and case-series, reporting treatment with artisanal CBD-rich, cannabis strains [22-26] have triggered widespread use of various cannabis strains in children with ASD, despite a lack of controlled studies. Furthermore, the cannabis plant contains a wide range of minor cannabinoids, terpenes, and flavonoids which differ by strain. These components have also been reported to impact human behaviour [27, 28]. Various combinations of these components have been proposed to have a synergistic pharmacological effect ('the entourage effect') [29]. Whether presumed effects of cannabis in ASD should be attributed to CBD or THC, or whether minor cannabinoids, terpenes, and flavonoids also contribute therapeutically remains unclear. Accordingly, we performed a proof-of-concept, placebo-controlled trial of whole-plant extract and pure cannabinoids in children and adolescents with ASD. We hypothesized that whole-plant extract, per the entourage effect, would be more effective than placebo for disruptive behaviors; assessing this hypothesis was our primary objective. A secondary objective was to assess the efficacy of pure cannabinoids which are more standardized and repeatable than whole-plant extracts and hence more suitable for pharmacotherapy.

## Methods

# Standard protocol approvals, registrations, and patient consents

NCT02956226 was approved by the Institutional Review Board at Shaare Zedek Medical Center and the Israeli Ministry of Health prior to participant enrollment. Participants' parents provided written informed consent and written assent was obtained from participants when appropriate.

### Study design

This proof-of-concept, randomized, double-blind, placebo-controlled trial was conducted in a single referral center—Shaare Zedek Medical Center, Jerusalem, Israel. Eligible participants were children and adolescents (5–21 years old) with an ASD diagnosis per DSM-5 criteria, confirmed by Autism Diagnostic Observation Schedule (ADOS-2), and moderate or greater behavioral problems (rating  $\geq$  4) on the Clinical Global Impression (CGI)-Severity scale (Table 1). Anchoring instructions (provided in the Additional file 1) were used so that the CGI-S would quantify behavioral difficulties rather than overall ASD severity.

Inclusion criteria	<ol> <li>Male or female outpatients aged 5–21 years old<sup>a</sup></li> <li>Diagnosis of ASD according to Diagnostic and Statistical Manual of Mental Disorders [Fifth Edition; DSM-5]</li> <li>Moderate or greater behavioral problems as measured by a Clinical Global Impression Scale—Severity (CGI-S) score of 4 or higher at screening<sup>b</sup></li> <li>Involvement of a parent or caregiver able to consistently complete assessments throughout the study</li> </ol>
Exclusion criteria	<ol> <li>Lifetime history of psychotic disorder</li> <li>Current or former treatment with cannabinoids</li> <li>A medical condition (such as heart, liver, renal or hematological disorder) that impacts the subject's ability to participate in the study or makes the subject predisposed to severe adverse events</li> <li>Subjects who have had changes in pharmacological, educational, or behavioral treatments for 4 weeks prior to randomization or planned changes in existing interventions for the duration of the trial</li> </ol>

### Table 1 Inclusion and exclusion criteria for study participation

<sup>b</sup> To assign CGI-S scores, structured criteria were used to rate behavioral difficulties on the CGI-S, rather than overall ASD severity

<sup>a</sup> In Israel, special education programs for individuals with ASD and neuropediatric clinics continue to follow patients with ASD until they are 21 years old

Participants were randomly assigned (1:1:1 ratio) to 1 of 3 treatments for 12-weeks. Treatments were: (1) oral placebo, (2) whole-plant cannabis extract containing CBD and THC at a 20:1 ratio, and (3) pure CBD and pure THC at the same ratio and concentration. Randomization and blinding processes are described in the Additional file 1.

The primary objective was to evaluate whether whole-plant cannabis extract would induce a significant improvement in behavioral assessments compared to placebo. We used the same CBD: THC ratio as in previous open-label case series [22–24]. We did not use a 'CBD only' arm in this initial study, as we hypothesized that the CBD-THC combination would be more efficacious because of direct effects of THC on the endocannabinoid system.

For ethical reasons, we used a crossover design in which all participants would receive cannabinoids at least once: after 12-weeks of treatment ('Period-1') and a 4-week washout period, participants crossed-over to a predetermined second 12-week treatment ('Period-2'; Fig. 1). The cross-over design was intended to allow within-participant analyses, comparing the two treatments that each participant received. As we had noted a substantial improvement in our open observational study with whole-plant extract [22], we ordered treatments a priori to minimize the likelihood of substantial improvement of severe disruptive behaviors in the first period



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and deterioration in the second period. As we hypothesized that whole-plant extract would be more effective than pure cannabinoids, we excluded the sequence of whole-plant extract followed by placebo.

Preliminary analyses revealed a treatment order effect: change from baseline was greater in the first period than in the second, suggesting a greater initial placebo effect. As a treatment order effect impairs the validity of withinparticipant analyses, we decided to evaluate betweengroup efficacy only during the first period. Data from both periods were examined for safety and tolerability. For transparency, we present within-participant analyses and between-participant analyses of period-2 (Additional file 1).

## Intervention

Cannabis plants (Topaz strain; BOL Pharma, Israel) were subjected to  $CO_2$  extraction. The extract was either immediately dissolved in olive oil (BOL-DP-O-01-W) or underwent further purification to 99% pure CBD and then was dissolved in olive oil (BOL-DP-O-01). The final concentrations of CBD and THC in both solutions were 167 mg/ml CBD and 8.35 mg/ml THC. Flavorings were added to all three solutions to make taste and scent uniform.

In each treatment period, starting dose was 1 mg/kg/d CBD (and 0.05 mg/kg/d THC). The dose was increased by 1 mg/kg/d CBD (and 0.05 mg/kg/d THC) every other day up to 10 mg/kg body weight per day CBD (and 0.5 mg/kg/d THC) for children weighing 20–40 kg or 7.5 mg/kg/d CBD (and 0.375 mg/kg/d THC) for weight > 40 kg (to a maximum of 420 mg CBD and 21 mg THC per day) divided into 3 daily doses. Treatments were given orally (sublingual whenever possible) as an add-on to any ongoing stable medication. At the end of each treatment period, the study treatment was gradually decreased over 2 weeks.

## **Baseline evaluations**

Baseline assessments included: ADOS-2 [30], a standardized assessment of communication, social interaction, play, and imaginary use of materials; Vineland Adaptive Behavior Scales (VABS) [31], a caregiver interview assessing Communication, Socialization, and Daily Living Skills; and Childhood Autism Rating Scale-Second edition (CARS2-ST) [32], a quantitative measure of direct behavior observation.

## Outcomes

*Primary outcomes:* We designated two co-primary outcome measures to assess ASD associated disruptive behaviors: Home Situations Questionnaire-ASD (HSQ-ASD) and CGI-Improvement (CGI-I) targeting behavioral problems.

*HSQ-ASD* [33] is a 24-item parent-rated measure of noncompliant behavior in children with ASD. The scale yields per-item mean scores of 0 to 9 (higher is worse) [33].

*CGI-I* [34] was used to measure improvement in disruptive behaviors from baseline by incorporating anchoring instructions related to behavioral difficulties (Anchors appear in the Additional file 1). As in the standard CGI-I, scores ranged from 1 (very much improved) through 4 (unchanged) to 7 (very much worse). Scores of 1 or 2 (much improved) were defined as a positive response; all others indicated a negative response [34]. CGI-I was assessed at the end of each treatment period. The same clinician (AA) assessed and rated the CGI-S and CGI-I of all participants.

*Secondary outcomes* included the Social Responsiveness Scale-2nd edition (SRS-2), the Autism Parenting Stress Index (APSI), and adverse events.

*SRS-2*: [35] this 65-item, caregiver questionnaire quantifies autism symptom severity (total scores range from 0 to 195; higher is worse).

*APSI:* [36] this 13-item parent-rated measure assesses parenting stress in three categories: core social disability, difficult-to-manage behavior, and physical issues.

*Adverse events* were assessed using a modified Liverpool Adverse Events Profile (LAEP) including the 19 original LAEP [37] items plus 15 items covering all significant adverse effects of CBD and THC reported in prior pediatric studies.

#### Statistical analyses

The primary aim of this study was to test the superiority of whole-plant-extract over placebo in treating ASD associated behavioral problems, using the HSQ-ASD and the CGI-I for disruptive behaviors. The comparison between pure-cannabinoids and placebo was registered as a secondary outcome. Sample size calculation was based on an effect size of f = 0.67 (in total HSQ-ASD score) [38] and standard deviation of 3 points in the within-participant difference between placebo and whole-plant extract conditions. To achieve 80% power with 2.5% alpha (adjusted for two co-primary endpoints) requires a sample of 43 patients per group. To account for attrition, an additional 15% were enrolled. A total of 50 participants per arm was set to test primary study endpoints. Analyses were performed using JMP version 14 (SAS Institute, Cary, NC, USA). All P values were two-sided. Specific statistical tests used and corrections applied for multiple comparisons are indicated in figure/table legends.

For details on the cannabinoid preparations, randomization process, important changes to methods after trial commencement, anchoring instructions for rating the CGI-S and CGI-I, and the CONSORT checklist, see Additional file 2.

## Results

Between 11 January 2017 and 12 April 2018, 150 children and adolescents (mean age  $11.8 \pm 4.1$  years, median 11.25, range 5.1-20.8; 80% boys) entered the trial. ASD symptoms were 'severe' in 78.7% per ADOS-2 (Comparison Score = 8–10) [30] and adaptive level was 'low' (Standard Score  $\leq$  70) in 88% per Vineland Behavior Scales [31].

Screening, randomization and attrition are shown in Fig. 2 and participant characteristics are provided in Table 2. Fifty participants were randomly assigned to each of the 3 treatments in Period-1 and 44 per group completed the study (12% overall attrition).

## Safety and tolerability of cannabinoid treatment with BOL-DP-O-01-W (whole-plant extract) and BOL-DP-O-01 (pure cannabinoids)

Adverse events (AEs) were reported whenever they occurred, and caregivers were proactively asked about them at each study visit, and every 4 weeks using a structured questionnaire. AEs were documented whether considered related to study treatments or not. Reports of new adverse events or worsening of previously reported events were rated mild (present, but not problematic),

moderate (problematic and leading to study drug dose decrease), or severe (posing a problem requiring medical intervention). Serious AEs were possibly life-threatening events or any requiring hospitalization. Overall, 95 participants received a whole-plant extract, 93 received pure cannabinoids, and 94 received a placebo.

There were no treatment-related severe or serious AEs. Six participants had an unrelated serious event (Additional file 1: Table S1). Overall, mild AEs were not significantly more frequent during cannabinoid treatment (mild AEs were reported 383, 388, and 353 times, in 89, 79, and 78 participants during treatment with whole-plant extract, pure cannabinoids, and placebo, respectively). Moderate AEs were reported 80, 78, and 57 times, in 44, 45, and 26 participants during treatment with whole-plant extract, pure cannabinoids, and placebo, respectively. AEs that were more common during cannabinoid treatment are presented in Table 3. The full list of adverse events and correlations with age, sex, treatment dose, and concomitant medications appears in Additional file 1: Table S2.

## Impact of cannabinoid treatment with BOL-DP-O-01-W (whole-plant extract) and BOL-DP-O-01 (pure cannabinoids) on behavior

The impact of cannabinoid treatment on behavioral problems was assessed using the HSQ-ASD [33], and the CGI-I [34] (co-primary outcome measures). The APSI



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	All	Placebo in 1st period; whole- plant in the 2nd	Pure cannabinoids in 1st period; placebo in the 2nd	Whole-plant in 1st period; pure cannabinoids in 2nd	P-value <sup>a</sup>
Age: mean ± SD [median, range]	11.8±4.1 [11.3, 5.1–20.8]	11.7±3.8 [10.7, 5.8–20]	11.6±4.3 [10.3, 5.1–20.4]	12.1±4.3 [12.6, 5.1–20.8]	0.79
Sex (% girls)	20%	16%	16%	28%	0.22
ADOS-2 Total Score mean $\pm$ SD [median, range]	21.8±6.0 [23, 7–32]	22.1±6.5 [23.5, 7–32]	22.5 ± 5.8 [24, 11–32]	20.9 ± 5.8 [21, 9–30]	0.41
VABS Standard Score mean $\pm$ SD [median, range]	52.3±14.5 [51, 20–102]	52.0±15.0 [49, 26–102]	52.4±15.2 [54, 25–89]	52.3 ± 13.6 [52, 20–78]	0.27
CARS Total Score mean±SD [median, range]	45.4±8.4 [47.5, 29.5–59]	46.0±8.5 [47.5, 30.5–59]	45.5±8.9 [48.5, 29.5–57.5]	44.6±7.8 [46.5, 31–56.5]	0.55
CGI-S maladaptive behavior mean $\pm$ SD [median, range]	5.6±0.7 [6, 4–7]	5.5±0.7 [6, 4–7]	5.6±0.7 [6, 4–7]	5.6±0.7 [6, 4–7]	0.78
HSQ Total Score (baseline) mean $\pm$ SD [median, range]	3.5±1.7 [3.3, 0.3–8.5]	3.7±1.5 [3.7, 0.7–6.0]	3.2±1.5 [3.1, 0.7–6.6]	3.7 ± 2.1 [3.6, 0.3–8.5]	0.33
SRS-2 Total Score (baseline) mean $\pm$ SD [median, range]	119±27 [121, 53–180]	122±23 [124, 53–159]	118±31 [118,64–178]	117±27 [117,66-180]	0.37
APSI Total Score (baseline) mean $\pm$ SD [median, range]	27.1 ± 10.4 [26, 7–54]	28.3±10.3 [27, 11–50]	25.8±10.4 [25, 8–54]	27.4±10.7 [25, 7–48]	0.67
BMI (baseline) mean $\pm$ SD [median, range]	20.8±5.7 [19.0, 12.3–39.6]	20.5±5.2 [19.1, 12.8–34]	20.5±6.0 [19.1, 12.3–39.6]	21.3±6.1 [19.0, 13.9–39.6]	0.67
Epilepsy	9%	8%	8%	10%	0.92
Concomitant medications					
Any medication	72%	72%	68%	76%	0.67
Antipsychotics	54%	58%	44%	60%	0.22
SSRIs	15%	12%	16%	16%	0.80
Antiepileptics (also given as mood stabilizers)	12%	12%	12%	12%	1.0
Stimulants	12%	8%	22%	6%	0.033
Benzodiazepines	7%	2%	8%	10%	0.19
Alpha-2 agonists	4%	4%	2%	6%	0.58

## Table 2 Participant characteristics

ADOS-2 Autism Diagnostic Observation Schedule-2nd edition, (Modules 1, 2 and 3 were used for 55%, 17%, and 28% of the participants, respectively, without significant differences among the 3 study arms); VABS Vineland Adaptive Behavior Scales; CARS Childhood Autism Rating Scale; CGI-S Clinical Global Impression–Severity [5 = markedly ill, 6 = severely ill, 7 = among the most extremely ill patients; all referencing disruptive behaviors]; HSQ Home Situations Questionnaire; SRS-2 Social Responsiveness Scale-2nd edition; APSI Autism Parenting Stress Index; SSRIs Selective serotonin reuptake inhibitors

<sup>a</sup> Categorical parameters (sex, epilepsy and medications) were compared using likelihood ratio chi-square tests. Continuous parameters were compared using the Kruskal–Wallis test if data distribution was non-normal but similar across groups (BMI) and using median tests if data distribution was non-normal and different across groups (age, assessment scores)

[36] (secondary outcome measure) also reflects the child's behavior. HSQ-ASD total scores and APSI total scores did not differ significantly between participants who received cannabinoids and participants who received placebo (Table 4). On the CGI-I, 49% of 45 participants who received whole-plant cannabinoids responded (either much or very much improved) [34] compared with 21% of 47 on placebo (p = 0.005, Fig. 3). Of the 45 participants who received pure cannabinoids, 38% responded, which was not significantly higher than placebo (p = 0.08).

None of these 3 measures (HSQ-ASD, CGI-I and APSI) differed significantly between participants who received whole-plant extract versus pure cannabinoids (Table 4).

Second treatment period results are presented in Additional file 1: Table S3 and Additional file 1: Figure S2 for transparency but not further discussed because of a significant order effect.

## Impact of BOL-DP-O-01-W (whole-plant extract) and BOL-DP-O-01 (pure cannabinoids) on Social Responsiveness Scale scores

ASD symptoms (secondary outcome) were assessed with the SRS-2 [35]. Improvement in SRS-2 total score was significantly higher following treatment with whole-plant extract compared with placebo (Table 4). Median total score improved by 3.6 points after placebo (n=36) versus 14.9 on whole-plant extract (n=34; p=0.009) and 8.2 on pure cannabinoids (n=28; p=0.80). Results of the second treatment period are presented in Additional file 1: Table S3 and Additional file 1: Figure S3 for transparency. Severe

Anxiety

Mild Moderate

	• •	•		
	Whole-plant extract CBD 5.5 mg/kg/d; n = 95 (%)	Pure cannabinoids CBD 5.5 mg/kg/d; n = 93 (%)	Placebo n = 94 (%)	<i>P</i> value (placebo vs cannabinoids)
Somnolence	27	24	7.5	< 0.001
Mild	20	18.5	7.5	
Moderate	7	5.5	0	
Severe	0	0	0	
Decreased appetite	24	22	15	0.157
Mild	21	16.5	13	
Moderate	3	5.5	2	
Severe	0	0	0	
Weight loss	12	13	4	0.053
Mild	9	12	3	
Moderate	3	1	1	
Severe	0	0	0	
Tiredness	25	34	19	0.077
Mild	21	28.5	18	
Moderate	4	5.5	1	
Severe	0	0	0	
Euphoria	20	19	13	0.201
Mild	15	16	12	
Moderate	5	3	1	

## Table 3 Common adverse events reported during either 12-week treatment period

CBD: cannabidiol (CBD:THC ratio was 20:1 for both cannabinoids tested; the average daily dose per kg was lower than the target dose as many participants weighted over 42 kg and reached the maximal daily dose)

0

27

25

2

Bold: sum of mild + moderate + severe for each adverse event

0

20

17

3

## Table 4 Impact of cannabinoid treatment, as reflected by change from baseline to end of treatment period 1 in total scores of HSQ-ASD, SRS-2, and APSI

	Median (range) [ <i>n</i> ]			Pairwise P		
Assessment	Whole-plant extract	Pure cannabinoids	Placebo	Whole-plant versus placebo	Pure C. versus placebo	Whole-plant versus pure C
HSQ-ASD	- 1.1 (- 3.8 to 1.6) [40]	-0.7 (-4.4 to 3.8) [42]	- 0.5 (- 3.7 to 2.5) [39]	0.575	0.915	0.508
SRS-2	- 14.9 (- 45 to 15) [34]	- 8.2 (- 69 to 45) [28]	- 3.6 (- 63 to 35) [36]	0.009	0.801	0.202
APSI	- 5.4 (- 39 to 13) [38]	-4.9 (-19 to 22) [42]	- 1.5 (- 26 to 20) [42]	0.502	0.513	0.991

HSQ Home Situations Questionnaire-ASD; SRS-2 Social Responsiveness Scale-2nd edition; APSI Autism Parenting Stress Index

Median tests were used, as distributions were non-normal

P-values are based on Mood's Median Test of each pairwise comparison

## Exploratory analyses: impact of BOL-DP-O-01-W (whole-plant extract) and BOL-DP-O-01 (pure cannabinoids) treatment on Body Mass Index (BMI)

Baseline BMIs were equivalent across treatment groups (Table 2). The BMI of participants who received cannabinoids decreased during active treatment [Median  $\{25\%, 75\%\}$ ] by -0.45  $\{-1.15, 0.18\}$  in Period-1 (n = 44)

and  $-0.12 \{-0.77, 0.18\}$  in Period-2 (n=40)] following treatment with whole-plant extract; BMI decreased by  $-0.36 \{-1.09, 0.24\}$  in Period-1 (n = 44) and -0.01 $\{-0.61, 0.48\}$  in Period-2 (n=43) following treatment with pure cannabinoids. Changes in BMI following cannabinoid treatment (either whole-plant extract or pure cannabinoids) were  $-0.36 \{-1.14, 0.2\}$  in Period-1

0

14

11

3

0.084

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(n=88) and  $-0.01 \{-0.7, 0.38\}$  in Period-2 (n=83). During treatment with placebo, changes in BMI were 0.16  $\{-0.25, 0.56\}$  in Period-1 (n=43; p<0.0001 versus cannabinoids) and 0.30, {0, 0.96} in Period-2 (n=43; p=0.002 versus cannabinoids).

Notably, participants with higher BMI at baseline had a more prominent decrease in BMI following cannabinoid treatment [The decrease in BMI was positively correlated with baseline BMI (F=4.3, p=0.042 in Period-1, F=8.6, p=0.005 in Period-2)]. Change in BMI following placebo was not significantly correlated with baseline BMI (Fig. 4).

# Exploratory analyses: possible moderators of treatment effects

Additional file 1: Table S4 presents possible moderators of treatment response. Severity of ASD core symptoms at baseline (as assessed by ADOS-2) and concomitant use of medications were not significantly associated with response to either pure cannabinoids or whole-plant extract, on any assessment.

Males were more likely to improve on the HSQ-ASD and SRS-2. Younger children were more likely to improve on the CGI-I and APSI. Participants who had somnolence during cannabinoid treatment were more likely to respond per the CGI-I assessment. However, treatment with the whole-plant extract remained significantly



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associated with improvement on the CGI-I and SRS-2 after controlling for somnolence and for concomitant use of medications during treatment [Odds Ratio {95% confidence interval} of 6.08 {1.91, 21.82} (p=0.003) and 3.56 {1.31, 10.28} (p=0.015), respectively].

Correlations between treatment dose (per Kg of body weight) and treatment response are presented in Additional file 1: Table S5. The average treatment dose during the first period was  $5.7 \pm 2.6 \text{ mg/kg/d}$  of CBD in the whole-plant extract arm and  $5.9 \pm 2.7 \text{ mg/kg/d}$  of CBD in the pure cannabinoids arm. A higher dose of whole-plant extract correlated with higher behavioral improvement on the CGI-I ( $r_s = -0.29$ , n = 45, p = 0.050). Cannabinoid dose did not correlate significantly with any other endpoints for either whole-plant extract or pure cannabinoids.

## **Concomitant medications**

Study treatments were added to ongoing behavioral or pharmacological treatments. Planned changes in such treatments or a change in the 4 weeks prior to randomization were exclusionary.

Concomitant medications were taken by 72% of participants (Table 2). Adverse events or response were not significantly associated with concomitant medication use (Additional file 1: Table S2 and S3), except for somnolence which was higher in those on chronic medications (p = 0.001).

## Discussion

Currently, there are no established medications for the core autistic symptoms. Risperidone and aripiprazole have been approved by the U.S. Food and Drug Administration (FDA) to treat comorbid irritability [2] but these medications often cause obesity and metabolic syndrome [2, 39].

In this study, we have demonstrated for the first time in a placebo-controlled trial that cannabinoid treatment has the potential to decrease disruptive behaviors associated with ASD, with acceptable tolerability. This is specifically important for the many individuals with ASD who are overweight, as cannabinoid treatment was associated with net weight-loss (Fig. 4) in contrast to the substantial weight gain usually produced by antipsychotics.

Two co-primary outcomes were designated to assess improvement in disruptive behaviors following cannabinoid treatment: a parent questionnaire (HSQ-ASD) and an interview-based clinician assessment (CGI-I).

HSQ-ASD scores did not differ significantly between participants who received cannabinoids and participants who received placebo. However, as our cohort included children and adolescents with a wide range of function levels, many participants had 4 or more items which were not applicable on the HSQ-ASD, limiting sample size on this scale (Table 4).

The clinician assessment was based on a detailed description of the most bothersome behavioral problems at baseline and an extensive interview at the end of each treatment period focused on those problems. Using this patient- and family-centered tool customized for each participant, we found that 49% of participants receiving the whole-plant extract treatment responded versus 21% on placebo (p = 0.005).

Intriguingly, one of our secondary outcomes, the SRS-2, provided preliminary evidence that cannabinoid treatment might improve core symptoms of ASD (Table 4). This finding could be of high importance if confirmed in future studies, as studies exploring pharmacological interventions for the ASD core symptoms are scarce.

Although not reportable as evidence of efficacy due to crossover effects, Additional file 1: Figures S2 and S3 show that results in the second treatment period were similar to those in the first.

Other possible implications of this preliminary study for future studies and selected clinical use include feasibility of sublingual administration in children with low adaptive level, and feasibility of a starting dose of 1 mg/ kg/d of CBD and a gradual increase over 2–3 weeks to a target of 5–10 mg/kg/d divided into 2–3 daily doses.

The study explored two cannabinoid compounds, differing by the absence of terpenes, flavonoids, and minor cannabinoids in the pure-cannabinoid compound. While additive and even synergistic therapeutic effects of these additional components have been suggested ('entourage' effect) [28, 29], we did not find clear advantages for the whole-plant extract over pure cannabinoids, suggesting that attempts to search for the optimal 'entourage' effect across cannabis strains with the same CBD:THC ratio are likely to be challenging. As previously reported in studies of children with refractory epilepsy [40, 41], we also found relatively high placebo effects, emphasizing the importance of placebo in studies of medical cannabis.

Similar to these studies we also found somnolence to be the most prevalent adverse event but importantly, cannabinoid treatment remained significantly associated with a positive response on the CGI-I and SRS-2 assessments after controlling for somnolence during treatment [Odds ratio of 6.08, p = 0.003].

Cannabinoids might affect behavior and communication through several mechanisms. THC activates  $CB_1R$ and has been associated with enhanced social behavior in multiple studies [42, 43]. CBD is a 5-HT<sub>1A</sub> receptor agonist, which might facilitate anxiolytic effects. Its presumed antipsychotic effect is attributed to partial agonism at dopamine D2 receptors, similar to the antipsychotic action of aripiprazole [44].

#### Limitations

Our study had several limitations. Although it was designed as a cross-over study, preliminary analyses revealed a treatment order effect which prevented the use of data from the second treatment period and limited sample size. As this was the first clinical study in the ASD field, we included a wide range of levels of function. Unfortunately, the standardized questionnaires contained many items that were inapplicable for some low-functioning participants, resulting in numerous invalid scores and decreased statistical power on those measures. We did not perform genetic or intelligence quotient evaluations and could not assess the effects of genetic background or cognitive level on treatment response. We did collect data on concomitant medications but were not powered to detect effects on treatment response or on adverse events. We did not obtain data on pharmacokinetics of the interventions and concomitant medications nor tests of liver enzymes and complete blood count, although we detected no clinical evidence of hepatic or hematologic dysfunction.

## Conclusions

Novel pharmacological treatments for the core and comorbid symptoms of ASD are urgently needed. Preclinical studies implicate the endocannabinoid system in the pathophysiology of ASD. In a controlled study of 150 participants, we found that BOL-DP-O-01-W, a whole-plant extract which contains CBD and THC in a 20:1 ratio, improved disruptive behaviors on one of two primary outcome measures and on a secondary outcome, an index of ASD core symptoms, with acceptable adverse events. These data suggest that cannabinoids should be further investigated in ASD.

Future studies should consider recruiting participants within narrower ranges of age and functional levels, assess the long-term tolerability and safety of cannabinoid treatments, and identify target populations within the autism spectrum that might benefit most from these treatments.

## **Supplementary Information**

The online version contains supplementary material available at https://doi. org/10.1186/s13229-021-00420-2.

Additional file 1. Data Supplement.

Additional file 2. CONSORT checklist.

#### Abbreviations

APSI: Autism Parenting Stress Index; ASD: Autism spectrum disorder; CB<sub>1</sub>R: Type-1 cannabinoid receptor; CBD: Cannabidiol; CGI-S/CGI-I: Clinical Global Impression–Severity/Improvement; HSQ: Home Situations Questionnaire; SRS: Social Responsiveness Scale; THC: Tetrahydrocannabinol.

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#### Authors' contributions

Dr. Aran conceptualized and designed the study, recruited participants, carried out the initial analyses, drafted the initial manuscript, and reviewed and revised the manuscript. Ms. Harel collected data, carried out the initial analyses, and reviewed and revised the manuscript. Drs. Cassuto, Schnapp, Watted, Shmueli and Golan recruited participants, collected data, and reviewed and revised the manuscript. Ms. Polyansky designed the data collection instruments, collected data, carried out the initial manuscript, and critically reviewed the manuscript for important intellectual content. All authors approved the final manuscript as submitted and agree to be accountable for all aspects of the work. All authors read and approved the final manuscript.

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#### Availability of data and materials

The authors declare that the data supporting study findings are available within the paper and its Additional file. The remaining data are available from the corresponding author upon reasonable request.

#### Ethics approval and consent to participate

All research procedures were approved by the Shaare Zedek Medical Center Review Board and Israeli Ministry of Health prior to participant enrollment. Participants' parents provided written consent prior to initiation of any experimental procedures, and written assent was obtained from participants when appropriate.

#### Consent for publication

Not applicable.

#### **Competing interests**

Adi Aran and F. Xavier Castellanos report receiving personal fees and stock options for advisory roles at BOL Pharma. The remaining authors have no conflicts of interest to disclose.

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