As Reported by the House Criminal Justice Committee

133rd General Assembly

Regular Session 2019-2020

Sub. S. B. No. 5

Senators Kunze, Dolan

Cosponsors: Senators Huffman, M., Hottinger, Roegner, Craig, Fedor, Obhof, Coley, Gavarone, Antonio, Brenner, Burke, Eklund, Hill, Hoagland, Huffman, S., Lehner, Maharath, McColley, O'Brien, Peterson, Rulli, Schuring, Terhar, Thomas, Uecker, Williams, Wilson, Yuko Representatives Lang, Crossman, Galonski, Rogers, Seitz, Smith, T.

A BILL

То	amend sections 9.78, 2907.22, and 2953.25 of the	1
	Revised Code to amend the penalties for	2
	promoting prostitution, to set a uniform fee for	3
	a certificate of qualification for employment,	4
	to require licensing agencies to provide	5
	additional information on the use of	6
	certificates of qualification for employment and	7
	certificates of achievement and employability,	8
	and to create a rebuttable presumption for	9
	issuance of a certificate of qualification for	10
	employment under certain circumstances.	11

BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF OHIO:

Section 1. That sections 9.78, 2907.22, and 2953.25 of the	12
Revised Code be amended to read as follows:	13
Sec. 9.78. (A) As used in this section:	14
(1) "License" means an authorization evidenced by a	15

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license, certificate, registration, permit, card, or other	16
authority that is issued or conferred by a licensing authority	17
to an individual by which the individual has or claims the	18
privilege to engage in a profession, occupation, or occupational	19
activity over which the licensing authority has jurisdiction.	20
(2) "Licensing authority" means both of the following:	21
(a) A board, commission, or other entity that issues	22
licenses under Title XLVII or any other provision of the Revised	23
Code to practice an occupation or profession;	24
(b) A political subdivision that issues a license or that	25
charges a fee for an individual to practice an occupation or	26
profession in that political subdivision.	27
(B) An individual who has been convicted of any criminal	28
offense may request, at any time, that a licensing authority	29
determine whether the individual's criminal conviction	30
disqualifies the individual from obtaining a license issued or	31
conferred by the licensing authority. An individual making such	32
a request shall include details of the individual's criminal	33
conviction and any payment required by the licensing authority.	34
A licensing authority may charge a fee of not more than twenty-	35
five dollars for each request made under this section, to	36
reimburse the costs it incurs in making the determination.	37
Not later than thirty days after receiving a request under	38
this section, the licensing authority shall inform the	39
individual whether, based on the criminal record information	40
submitted, the individual is disqualified from receiving or	41
holding the license about which the individual inquired. A	42

licensing authority is not bound by a determination made under

this section, if, on further investigation, the licensing

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reference to the certificate of qualification for employment web	74
site maintained by the department of rehabilitation and	75
<pre>correction.</pre>	76
(E) Any predetermination form, nonconviction statement	77
form, or other form used by a licensing authority to determine	78
whether a conviction or adjudication record disqualifies an	79
applicant from obtaining a particular license shall include a	80
section requesting the applicant to provide information if they	81
are a recipient of a certificate of qualification for employment	82
under section 2953.25 of the Revised Code or a certificate of	83
achievement and employability under section 2961.22 of the	84
Revised Code.	85
Sec. 2907.22. (A) No person shall knowingly:	86
(1) Establish, maintain, operate, manage, supervise,	87
control, or have an interest in a brothel or any other	88
enterprise a purpose of which is to facilitate engagement in	89
sexual activity for hire;	90
(2) Supervise, manage, or control the activities of a	91
prostitute in engaging in sexual activity for hire;	92
(3) Transport another, or cause another to be transported,	93
in order to facilitate the other person's engaging in sexual	94
activity for hire;	95
(4) For the purpose of violating or facilitating a	96
violation of this section, induce or procure another to engage	97
in sexual activity for hire.	98
(B) Whoever violates this section is guilty of promoting	99
prostitution. Except, and the court shall sentence the offender	100
as follows:	101

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(3) "Department-funded program" means a residential or 160 nonresidential program that is not a term in a state 161 correctional institution, that is funded in whole or part by the 162 department of rehabilitation and correction, and that is imposed 163 as a sanction for an offense, as part of a sanction that is 164 imposed for an offense, or as a term or condition of any 165 sanction that is imposed for an offense. 166 (4) "Designee" means the person designated by the deputy 167 director of the division of parole and community services to 168 perform the duties designated in division (B) of this section. 169 (5) "Division of parole and community services" means the 170 division of parole and community services of the department of 171 rehabilitation and correction. 172 (6) "Offense" means any felony or misdemeanor under the 173 laws of this state. 174 (7) "Political subdivision" has the same meaning as in 175 section 2969.21 of the Revised Code. 176 (8) "Discretionary civil impact," "licensing agency," and 177 "mandatory civil impact" have the same meanings as in section 178 2961.21 of the Revised Code. 179 180 (B) (1) An individual who is subject to one or more collateral sanctions as a result of being convicted of or 181 pleading guilty to an offense and who either has served a term 182 in a state correctional institution for any offense or has spent 183 time in a department-funded program for any offense may file a 184 petition with the designee of the deputy director of the 185 division of parole and community services for a certificate of 186 qualification for employment. 187

(2) An individual who is subject to one or more collateral

sanctions as a result of being convicted of or pleading guilty	189
to an offense and who is not in a category described in division	190
(B)(1) of this section may file for a certificate of	191
qualification for employment by doing either of the following:	192
(a) In the case of an individual who resides in this	193
state, filing a petition with the court of common pleas of the	194
county in which the person resides or with the designee of the	195
deputy director of the division of parole and community	196
services;	197
(b) In the case of an individual who resides outside of	198
this state, filing a petition with the court of common pleas of	199
any county in which any conviction or plea of guilty from which	200
the individual seeks relief was entered or with the designee of	201
the deputy director of the division of parole and community	202
services.	203
(3) A petition under division (B)(1) or (2) of this	204
section shall be made on a copy of the form prescribed by the	205
division of parole and community services under division (J) of	206
this section—and, shall contain all of the information described	207
in division (F) of this section, and, except as provided in	208
division (B)(6) of this section, shall be accompanied by an	209
application fee of fifty dollars.	210
(4)(a) Except as provided in division (B)(4)(b) of this	211
section, an individual may file a petition under division (B)(1)	212
or (2) of this section at any time after the expiration of	213
whichever of the following is applicable:	214
(i) If the offense that resulted in the collateral	215
sanction from which the individual seeks relief is a felony, at	216
any time after the expiration of one year from the date of	217

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release of the individual from any period of incarceration in a

state or local correctional facility that was imposed for that

offense and all periods of supervision imposed after release

from the period of incarceration or, if the individual was not

incarcerated for that offense, at any time after the expiration

of one year from the date of the individual's final release from

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all other sanctions imposed for that offense.

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- (ii) If the offense that resulted in the collateral sanction from which the individual seeks relief is a misdemeanor, at any time after the expiration of six months from the date of release of the individual from any period of incarceration in a local correctional facility that was imposed for that offense and all periods of supervision imposed after release from the period of incarceration or, if the individual was not incarcerated for that offense, at any time after the expiration of six months from the date of the final release of the individual from all sanctions imposed for that offense including any period of supervision.
- (b) The department of rehabilitation and correction may
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 establish criteria by rule adopted under Chapter 119. of the
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 Revised Code that, if satisfied by an individual, would allow
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 the individual to file a petition before the expiration of six
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 months or one year from the date of final release, whichever is
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 applicable under division (B) (4) (a) of this section.
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- (5) (a) A designee that receives a petition for a

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 certificate of qualification for employment from an individual

 under division (B) (1) or (2) of this section shall review the

 petition to determine whether it is complete. If the petition is

 complete, the designee shall forward the petition, the

 application fee, and any other information the designee

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possesses that relates to the petition, to the court of common	248
pleas of the county in which the individual resides if the	249
individual submitting the petition resides in this state or, if	250
the individual resides outside of this state, to the court of	251
common pleas of the county in which the conviction or plea of	252
guilty from which the individual seeks relief was entered.	253

(b) A court of common pleas that receives a petition for a 254 certificate of qualification for employment from an individual 255 under division (B)(2) of this section, or that is forwarded a 256 petition for such a certificate under division (B)(5)(a) of this 257 section, shall attempt to determine all other courts in this 258 state in which the individual was convicted of or pleaded quilty 259 to an offense other than the offense from which the individual 260 is seeking relief. The court that receives or is forwarded the 261 petition shall notify all other courts in this state that it 262 determines under this division were courts in which the 2.63 individual was convicted of or pleaded guilty to an offense 264 other than the offense from which the individual is seeking 265 relief that the individual has filed the petition and that the 266 court may send comments regarding the possible issuance of the 267 certificate. 268

A court of common pleas that receives a petition for a 269 certificate of qualification for employment under division (B) 270 (2) of this section shall notify the county's prosecuting 271 attorney that the individual has filed the petition. 272

A court of common pleas that receives a petition for a 273 certificate of qualification for employment under division (B) 274 (2) of this section, or that is forwarded a petition for 275 qualification under division (B)(5)(a) of this section may 276 direct the clerk of court to process and record all notices 277

required in or under this section. Except as provided in	278
division (B)(6) of this section, the court shall pay thirty	279
dollars of the application fee into the state treasury and	280
twenty dollars of the application fee into the county general	281
revenue fund.	282
(6) Upon receiving a petition for a certificate of	283
qualification for employment filed by an individual under_	284
division (B)(1) or (2) of this section, a court of common pleas	285
or the designee of the deputy director of the division of parole	286
and community services who receives the petition may waive all	287
or part of the fifty-dollar filing fee for an applicant who is	288
indigent. If an application fee is partially waived, the first	289
twenty dollars of the fee that is collected shall be paid into	290
the county general revenue fund. Any partial fee collected in	291
excess of twenty dollars shall be paid into the state treasury.	292
(C)(1) Upon receiving a petition for a certificate of	293
qualification for employment filed by an individual under	294
division (B)(2) of this section or being forwarded a petition	295
for such a certificate under division (B)(5)(a) of this section,	296
the court shall review the individual's petition, the	297
individual's criminal history, all filings submitted by the	298
prosecutor or by the victim in accordance with rules adopted by	299
the division of parole and community services, the applicant's	300
military service record, if applicable, and whether the	301
applicant has an emotional, mental, or physical condition that	302
is traceable to the applicant's military service in the armed	303
forces of the United States and that was a contributing factor	304
in the commission of the offense or offenses, and all other	305
relevant evidence. The court may order any report,	306
investigation, or disclosure by the individual that the court	307
believes is necessary for the court to reach a decision on	308

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whether to approve the individual's petition for a certificate	309
of qualification for employment.	310
(2) Upon receiving a petition for a certificate of	311
qualification for employment filed by an individual under	312
division (B)(2) of this section or being forwarded a petition	313
for such a certificate under division (B)(5)(a) of this section,	314
except as otherwise provided in this division, the court shall	315
decide whether to issue the certificate within sixty days after	316
the court receives or is forwarded the completed petition and	317
all information requested for the court to make that decision.	318
Upon request of the individual who filed the petition, the court	319
may extend the sixty-day period specified in this division.	320
(3) Subject Except as provided in division (C)(5) of this	321
<u>section and subject</u> to division (C) $\frac{(5)}{(7)}$ of this section, a	322
court that receives an individual's petition for a certificate	323
of qualification for employment under division (B)(2) of this	324
section or that is forwarded a petition for such a certificate	325
under division (B)(5)(a) of this section may issue a certificate	326
of qualification for employment, at the court's discretion, if	327
the court finds that the individual has established all of the	328
following by a preponderance of the evidence:	329
(a) Granting the petition will materially assist the	330
individual in obtaining employment or occupational licensing.	331
(b) The individual has a substantial need for the relief	332
requested in order to live a law-abiding life.	333
(c) Granting the petition would not pose an unreasonable	334
risk to the safety of the public or any individual.	335

(4) The submission of an incomplete petition by an

individual shall not be grounds for the designee or court to

deny the petition.	338
(5) Subject to division (C)(6) of this section, an	339
individual is rebuttably presumed to be eligible for a	340
certificate of qualification for employment if the court that	341
receives the individual's petition under division (B)(2) of this	342
section or that is forwarded a petition under division (B)(5)(a)	343
of this section finds all of the following:	344
(a) The application was filed after the expiration of the	345
applicable waiting period prescribed in division (B)(4) of this	346
<pre>section;</pre>	347
(b) If the offense that resulted in the collateral	348
sanction from which the individual seeks relief is a felony, at	349
least three years have elapsed since the date of release of the	350
individual from any period of incarceration in a state or local	351
correctional facility that was imposed for that offense and all	352
periods of supervision imposed after release from the period of	353
incarceration or, if the individual was not incarcerated for	354
that offense, at least three years have elapsed since the date	355
of the individual's final release from all other sanctions	356
<pre>imposed for that offense;</pre>	357
(c) If the offense that resulted in the collateral	358
sanction from which the individual seeks relief is a	359
misdemeanor, at least one year has elapsed since the date of	360
release of the individual from any period of incarceration in a	361
local correctional facility that was imposed for that offense	362
and all periods of supervision imposed after release from the	363
period of incarceration or, if the individual was not	364
incarcerated for that offense, at least one year has elapsed	365
since the date of the final release of the individual from all_	366
sanctions imposed for that offense including any period of_	367

supervision.	368
(6) An application that meets all of the requirements for	369
the presumption under division (C)(5) of this section shall be	370
denied only if the court that receives the petition finds that	371
the evidence reviewed under division (C)(1) of this section	372
rebuts the presumption of eligibility for issuance by	373
establishing, by clear and convincing evidence, that the	374
applicant has not been rehabilitated.	375
(7) A certificate of qualification for employment shall	376
not create relief from any of the following collateral	377
sanctions:	378
(a) Requirements imposed by Chapter 2950. of the Revised	379
Code and rules adopted under sections 2950.13 and 2950.132 of	380
the Revised Code;	381
(b) A driver's license, commercial driver's license, or	382
probationary license suspension, cancellation, or revocation	383
pursuant to section 4510.037, 4510.07, 4511.19, or 4511.191 of	384
the Revised Code if the relief sought is available pursuant to	385
section 4510.021 or division (B) of section 4510.13 of the	386
Revised Code;	387
(c) Restrictions on employment as a prosecutor or law	388
enforcement officer;	389
(d) The denial, ineligibility, or automatic suspension of	390
a license that is imposed upon an individual applying for or	391
holding a license as a health care professional under Title	392
XLVII of the Revised Code if the individual is convicted of,	393
pleads guilty to, is subject to a judicial finding of	394
eligibility for intervention in lieu of conviction in this state	395
under section 2951.041 of the Revised Code, or is subject to	396

treatment or intervention in lieu of conviction for a violation	397
of section 2903.01, 2903.02, 2903.03, 2903.11, 2905.01, 2907.02,	398
2907.03, 2907.05, 2909.02, 2911.01, 2911.11, or 2919.123 of the	399
Revised Code;	400
(e) The immediate suspension of a license, certificate, or	401
evidence of registration that is imposed upon an individual	402
holding a license as a health care professional under Title	403
XLVII of the Revised Code pursuant to division (C) of section	404
3719.121 of the Revised Code;	405
(f) The denial or ineligibility for employment in a pain	406
clinic under division (B)(4) of section 4729.552 of the Revised	407
Code;	408
(g) The mandatory suspension of a license that is imposed	409
on an individual applying for or holding a license as a health	410
care professional under Title XLVII of the Revised Code pursuant	411
to section 3123.43 of the Revised Code.	412
$\frac{(6)}{(8)}$ If a court that receives an individual's petition	413
for a certificate of qualification for employment under division	414
(B)(2) of this section or that is forwarded a petition for such	415
a certificate under division (B)(5)(a) of this section denies	416
the petition, the court shall provide written notice to the	417
individual of the court's denial. The court may place conditions	418
on the individual regarding the individual's filing of any	419
subsequent petition for a certificate of qualification for	420
employment. The written notice must notify the individual of any	421
conditions placed on the individual's filing of a subsequent	422
petition for a certificate of qualification for employment.	423
If a court of common pleas that receives an individual's	424
petition for a certificate of qualification for employment under	425

division (B)(2) of this section or that is forwarded a petition	426
for such a certificate under division (B)(5)(a) of this section	427
denies the petition, the individual may appeal the decision to	428
the court of appeals only if the individual alleges that the	429
denial was an abuse of discretion on the part of the court of	430
common pleas.	431

- (D)(1) A certificate of qualification for employment 432 issued to an individual lifts the automatic bar of a collateral 433 sanction, and a decision-maker shall consider on a case-by-case 434 435 basis whether to grant or deny the issuance or restoration of an occupational license or an employment opportunity, 436 notwithstanding the individual's possession of the certificate, 437 without, however, reconsidering or rejecting any finding made by 438 a designee or court under division (C)(3) of this section. 439
- (2) The certificate constitutes a rebuttable presumption 440 that the person's criminal convictions are insufficient evidence 441 that the person is unfit for the license, employment 442 opportunity, or certification in question. Notwithstanding the 443 presumption established under this division, the agency may deny 444 the license or certification for the person if it determines 445 that the person is unfit for issuance of the license. 446
- (3) If an employer that has hired a person who has been 447 issued a certificate of qualification for employment applies to 448 a licensing agency for a license or certification and the person 449 has a conviction or guilty plea that otherwise would bar the 450 person's employment with the employer or licensure for the 451 employer because of a mandatory civil impact, the agency shall 452 give the person individualized consideration, notwithstanding 453 the mandatory civil impact, the mandatory civil impact shall be 454 considered for all purposes to be a discretionary civil impact, 455

and the certificate constitutes a rebuttable presumption that	456
the person's criminal convictions are insufficient evidence that	457
the person is unfit for the employment, or that the employer is	458
unfit for the license or certification, in question.	459
(E) A certificate of qualification for employment does not	460
grant the individual to whom the certificate was issued relief	461
from the mandatory civil impacts identified in division (A)(1)	462
of section 2961.01 or division (B) of section 2961.02 of the	463
Revised Code.	464
(F) A petition for a certificate of qualification for	465
employment filed by an individual under division (B)(1) or (2)	466
of this section shall include all of the following:	467
(1) The individual's name, date of birth, and social	468
security number;	469
(2) All aliases of the individual and all social security	470
numbers associated with those aliases;	471
(3) The individual's residence address, including the	472
city, county, and state of residence and zip code;	473
(4) The length of time that the individual has resided in	474
the individual's current state of residence, expressed in years	475
and months of residence;	476
(5) A general statement as to why the individual has filed	477
the petition and how the certificate of qualification for	478
employment would assist the individual;	479
(6) A summary of the individual's criminal history with	480
respect to each offense that is a disqualification from	481
employment or licensing in an occupation or profession,	482
including the years of each conviction or plea of guilty for	483

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a certificate of qualification for employment under this 512 section, if the individual, after being hired, subsequently 513 demonstrates dangerousness or is convicted of or pleads guilty 514 to a felony, and if the employer retains the individual as an 515 employee after the demonstration of dangerousness or the 516 conviction or guilty plea, the employer may be held liable in a 517 civil action that is based on or relates to the retention of the 518 individual as an employee only if it is proved by a 519 preponderance of the evidence that the person having hiring and 520 firing responsibility for the employer had actual knowledge that 521 the employee was dangerous or had been convicted of or pleaded 522 quilty to the felony and was willful in retaining the individual 523 as an employee after the demonstration of dangerousness or the 524 conviction or quilty plea of which the person has actual 525 knowledge. 526

- (H) A certificate of qualification for employment issued 527 under this section shall be revoked if the individual to whom 528 the certificate of qualification for employment was issued is 529 530 convicted of or pleads quilty to a felony offense committed subsequent to the issuance of the certificate of qualification 531 for employment. The department of rehabilitation and correction 532 shall periodically review the certificates listed in the 533 database described in division (K) of this section to identify 534 those that are subject to revocation under this division. Upon 535 identifying a certificate of qualification for employment that 536 is subject to revocation, the department shall note in the 537 database that the certificate has been revoked, the reason for 538 revocation, and the effective date of revocation, which shall be 539 the date of the conviction or plea of guilty subsequent to the 540 issuance of the certificate. 541
 - (I) A designee's forwarding, or failure to forward, a

2953.25 of the Revised Code are hereby repealed.

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petition for a certificate of qualification for employment to a	543
court or a court's issuance, or failure to issue, a petition for	544
a certificate of qualification for employment to an individual	545
under division (B) of this section does not give rise to a claim	546
for damages against the department of rehabilitation and	547
correction or court.	548
(J) The division of parole and community services shall	549
adopt rules in accordance with Chapter 119. of the Revised Code	550
for the implementation and administration of this section and	551
shall prescribe the form for the petition to be used under	552
division (B)(1) or (2) of this section. The form for the	553
petition shall include places for all of the information	554
specified in division (F) of this section.	555
(K) The department of rehabilitation and correction shall	556
maintain a database that identifies granted certificates and	557
revoked certificates and tracks the number of certificates	558
granted and revoked, the industries, occupations, and	559
professions with respect to which the certificates have been	560
most applicable, and the types of employers that have accepted	561
the certificates. The department shall annually create a report	562
that summarizes the information maintained in the database and	563
shall make the report available to the public on its internet	564
web site.	565
Section 2. That existing sections 9.78, 2907.22, and	566