As Passed by the House

134th General Assembly

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Sub. S. B. No. 7

Senator Roegner

Cosponsors: Senators Huffman, S., Antonio, Blessing, Brenner, Cirino, Craig, Dolan, Gavarone, Hackett, Hoagland, Hottinger, Johnson, Lang, McColley, O'Brien, Peterson, Reineke, Sykes, Thomas, Wilson, Yuko Representatives Gross, Click, Crossman, Galonski, Jarrells, Leland, Lepore-Hagan, Lightbody, Liston, McClain, Miller, A., Miller, J., Richardson, Riedel, Russo, Sheehy, Smith, M., White, Young, T.

A BILL

То	enact sections 4755.062, 4755.14, and 4755.141	1
	of the Revised Code to enter into the	2
	Occupational Therapy Licensure Compact.	3

BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF OHIO:

Section 1. That sections 4755.062, 4755.14, and 4755.141	4
of the Revised Code be enacted to read as follows:	5
Sec. 4755.062. The occupational therapy section of the	6
Ohio occupational therapy, physical therapy, and athletic	7
trainers board may contract with the Ohio occupational therapy	8
association, or its successor organization, for assistance in	9
performing any duties prescribed in rules adopted under division	10
(I) of section 4755.06 of the Revised Code.	11
Sec. 4755.14. The "Occupational Therapy Licensure Compact"	12
is hereby ratified, enacted into law, and entered into by the	13
state of Ohio as a party to the compact with any other state	14

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increase access to Occupational Therapy services.	42
SECTION 2. DEFINITIONS	43
As used in this Compact, and except as otherwise provided,	44
the following definitions shall apply:	45
A. "Active Duty Military" means full-time duty status in	46
the active uniformed service of the United States, including	47
members of the National Guard and Reserve on active duty orders	48
pursuant to 10 U.S.C. Chapter 1209 and Section 1211.	49
B. "Adverse Action" means any administrative, civil,	50
equitable, or criminal action permitted by a State's laws which	51
is imposed by a Licensing Board or other authority against an	52
Occupational Therapist or Occupational Therapy Assistant,	53
including actions against an individual's license or Compact	54
Privilege such as censure, revocation, suspension, probation,	55
monitoring of the Licensee, or restriction on the Licensee's	56
<pre>practice.</pre>	57
C. "Alternative Program" means a non-disciplinary	58
monitoring process approved by an Occupational Therapy Licensing	59
Board.	60
D. "Compact Privilege" means the authorization, which is	61
equivalent to a license, granted by a Remote State to allow a	62
Licensee from another Member State to practice as an_	63
Occupational Therapist or practice as an Occupational Therapy	64
Assistant in the Remote State under its laws and rules. The	65
Practice of Occupational Therapy occurs in the Member State	66
where the patient/client is located at the time of the	67
<pre>patient/client encounter.</pre>	68
E. "Continuing Competence/Education" means a requirement,	69
as a condition of license renewal, to provide evidence of	70

participation in, and/or completion of, educational and	71
professional activities relevant to practice or area of work.	72
F. "Current Significant Investigative Information" means	73
Investigative Information that a Licensing Board, after an	74
inquiry or investigation that includes notification and an	75
opportunity for the Occupational Therapist or Occupational	76
Therapy Assistant to respond, if required by State law, has	77
reason to believe is not groundless and, if proved true, would	78
indicate more than a minor infraction.	79
G. "Data System" means a repository of information about	80
Licensees, including but not limited to license status,	81
Investigative Information, Compact Privileges, and Adverse	82
Actions.	83
H. "Encumbered License" means a license in which an	84
Adverse Action restricts the Practice of Occupational Therapy by	85
the Licensee or said Adverse Action has been reported to the	86
National Practitioners Data Bank (NPDB).	87
I. "Executive Committee" means a group of directors	88
elected or appointed to act on behalf of, and within the powers	8.9
granted to them by, the Commission.	90
J. "Home State" means the Member State that is the	91
Licensee's Primary State of Residence.	92
K. "Impaired Practitioner" means individuals whose	93
professional practice is adversely affected by substance abuse,	94
addiction, or other health-related conditions.	95
L. "Investigative Information" means information, records,	96
and/or documents received or generated by an Occupational	97
Thorany Liconeina Board nursuant to an invostigation	0.0

M. "Jurisprudence Requirement" means the assessment of an	99
individual's knowledge of the laws and rules governing the	100
Practice of Occupational Therapy in a State.	101
N. "Licensee" means an individual who currently holds an	102
authorization from the State to practice as an Occupational	103
Therapist or as an Occupational Therapy Assistant.	104
O. "Member State" means a State that has enacted the	105
Compact.	106
P. "Occupational Therapist" means an individual who is	107
licensed by a State to practice Occupational Therapy.	108
Q. "Occupational Therapy Assistant" means an individual	109
who is licensed by a State to assist in the Practice of	110
Occupational Therapy.	111
R. "Occupational Therapy," "Occupational Therapy	112
Practice," and the "Practice of Occupational Therapy" mean the	113
care and services provided by an Occupational Therapist or an	114
Occupational Therapy Assistant as set forth in the Member	115
State's statutes and regulations.	116
S. "Occupational Therapy Compact Commission" or	117
"Commission" means the national administrative body whose	118
membership consists of all States that have enacted the Compact.	119
T. "Occupational Therapy Licensing Board" or "Licensing	120
Board" means the agency of a State that is authorized to license	121
and regulate Occupational Therapists and Occupational Therapy	122
<u>Assistants.</u>	123
U. "Primary State of Residence" means the state (also	124
known as the Home State) in which an Occupational Therapist or	125
Occupational Therapy Assistant who is not Active Duty Military	126

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identifier as defined in Rules of the Commission;

3. Have a mechanism in place for receiving and

investigating complaints about Licensees;	154
4. Notify the Commission, in compliance with the terms of	155
the Compact and Rules, of any Adverse Action or the availability	156
of Investigative Information regarding a Licensee;	157
5. Implement or utilize procedures for considering the	158
criminal history records of applicants for an initial Compact	159
Privilege. These procedures shall include the submission of	160
fingerprints or other biometric-based information by applicants	161
for the purpose of obtaining an applicant's criminal history	162
record information from the Federal Bureau of Investigation and	163
the agency responsible for retaining that State's criminal	164
records;	165
a. A Member State shall, within a time frame established	166
by the Commission, require a criminal background check for a	167
Licensee seeking/applying for a Compact Privilege whose Primary	168
State of Residence is that Member State, by receiving the	169
results of the Federal Bureau of Investigation criminal record	170
search, and shall use the results in making licensure decisions.	171
b. Communication between a Member State, the Commission	172
and among Member States regarding the verification of	173
eligibility for licensure through the Compact shall not include	174
any information received from the Federal Bureau of	175
Investigation relating to a federal criminal records check	176
performed by a Member State under Public Law 92-544.	177
6. Comply with the Rules of the Commission;	178
7. Utilize only a recognized national examination as a	179
requirement for licensure pursuant to the Rules of the	180
<pre>Commission; and</pre>	181
8 Have Continuing Competence/Education requirements as a	182

5. Have paid all fines and completed all requirements

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resulting from any Adverse Action against any license or Compact	210
Privilege, and two years have elapsed from the date of such	211
<pre>completion;</pre>	212
6. Notify the Commission that the Licensee is seeking the	213
<pre>Compact Privilege within a Remote State(s);</pre>	214
7. Pay any applicable fees, including any State fee, for	215
the Compact Privilege;	216
8. Complete a criminal background check in accordance with	217
Section 3A(5);	218
a. The Licensee shall be responsible for the payment of	219
any fee associated with the completion of a criminal background	220
check.	221
9. Meet any Jurisprudence Requirements established by the	222
Remote State(s) in which the Licensee is seeking a Compact	223
Privilege; and	224
10. Report to the Commission Adverse Action taken by any	225
non-Member State within 30 days from the date the Adverse Action	226
<u>is taken.</u>	227
B. The Compact Privilege is valid until the expiration	228
date of the Home State license. The Licensee must comply with	229
the requirements of Section 4A to maintain the Compact Privilege	230
in the Remote State.	231
C. A Licensee providing Occupational Therapy in a Remote	232
State under the Compact Privilege shall function within the laws	233
and regulations of the Remote State.	234
D. Occupational Therapy Assistants practicing in a Remote	235
State shall be supervised by an Occupational Therapist licensed	236
or holding a Compact Privilege in that Remote State.	237

E. A Licensee providing Occupational Therapy in a Remote	238
State is subject to that State's regulatory authority. A Remote	239
State may, in accordance with due process and that State's laws,	240
remove a Licensee's Compact Privilege in the Remote State for a	241
specific period of time, impose fines, and/or take any other	242
necessary actions to protect the health and safety of its	243
citizens. The Licensee may be ineligible for a Compact Privilege	244
in any State until the specific time for removal has passed and	245
all fines are paid.	246
F. If a Home State license is encumbered, the Licensee	247
shall lose the Compact Privilege in any Remote State until the	248
<pre>following occur:</pre>	249
1. The Home State license is no longer encumbered; and	250
2. Two years have elapsed from the date on which the Home	251
State license is no longer encumbered in accordance with Section	252
<u>4(F)(1).</u>	253
G. Once an Encumbered License in the Home State is	254
restored to good standing, the Licensee must meet the	255
requirements of Section 4A to obtain a Compact Privilege in any	256
Remote State.	257
H. If a Licensee's Compact Privilege in any Remote State	258
is removed, the individual may lose the Compact Privilege in any	259
other Remote State until the following occur:	260
1. The specific period of time for which the Compact	261
Privilege was removed has ended;	262
2. All fines have been paid and all conditions have been	263
<pre>met;</pre>	264
3. Two years have elapsed from the date of completing	265

requirements for 4(H)(1) and (2); and	266
4. The Compact Privileges are reinstated by the	267
Commission, and the compact Data System is updated to reflect	268
reinstatement.	269
I. If a Licensee's Compact Privilege in any Remote State	270
is removed due to an erroneous charge, privileges shall be	271
restored through the compact Data System.	272
J. Once the requirements of Section 4H have been met, the	273
license must meet the requirements in Section 4A to obtain a	274
Compact Privilege in a Remote State.	275
SECTION 5: OBTAINING A NEW HOME STATE LICENSE BY VIRTUE OF	276
COMPACT PRIVILEGE	277
A. An Occupational Therapist or Occupational Therapy	278
Assistant may hold a Home State license, which allows for	279
Compact Privileges in Member States, in only one Member State at	280
a time.	281
B. If an Occupational Therapist or Occupational Therapy	282
Assistant changes Primary State of Residence by moving between	283
<pre>two Member States:</pre>	284
1. The Occupational Therapist or Occupational Therapy	285
Assistant shall file an application for obtaining a new Home	286
State license by virtue of a Compact Privilege, pay all	287
applicable fees, and notify the current and new Home State in	288
accordance with applicable Rules adopted by the Commission.	289
2. Upon receipt of an application for obtaining a new Home	290
State license by virtue of compact privilege, the new Home State	291
shall verify that the Occupational Therapist or Occupational	292
Therapy Assistant meets the pertinent criteria outlined in	293

Section 4 via the Data System, without need for primary source	294
<pre>verification except for:</pre>	295
a. an FBI fingerprint based criminal background check if	296
not previously performed or updated pursuant to applicable Rules	297
adopted by the Commission in accordance with Public Law 92-544;	298
b. other criminal background check as required by the new	299
<pre>Home State; and</pre>	300
c. submission of any requisite Jurisprudence Requirements	301
of the new Home State.	302
3. The former Home State shall convert the former Home	303
State license into a Compact Privilege once the new Home State	304
has activated the new Home State license in accordance with	305
applicable Rules adopted by the Commission.	306
4. Notwithstanding any other provision of this Compact, if	307
the Occupational Therapist or Occupational Therapy Assistant	308
cannot meet the criteria in Section 4, the new Home State shall	309
apply its requirements for issuing a new Single-State License.	310
5. The Occupational Therapist or the Occupational Therapy	311
Assistant shall pay all applicable fees to the new Home State in	312
order to be issued a new Home State license.	313
C. If an Occupational Therapist or Occupational Therapy	314
Assistant changes Primary State of Residence by moving from a	315
Member State to a non-Member State, or from a non-Member State	316
to a Member State, the State criteria shall apply for issuance	317
of a Single-State License in the new State.	318
D. Nothing in this compact shall interfere with a	319
Licensee's ability to hold a Single-State License in multiple	320
States, believes for the purposes of this compact a licensee	321

shall have only one Home State license.	322
E. Nothing in this Compact shall affect the requirements	323
established by a Member State for the issuance of a Single-State	324
License.	325
SECTION 6. ACTIVE DUTY MILITARY PERSONNEL OR THEIR SPOUSES	326
A. Active Duty Military personnel, or their spouses, shall	327
designate a Home State where the individual has a current	328
license in good standing. The individual may retain the Home	329
State designation during the period the service member is on	330
active duty. Subsequent to designating a Home State, the	331
individual shall only change their Home State through	332
application for licensure in the new State or through the	333
process described in Section 5.	334
SECTION 7. ADVERSE ACTIONS	335
A. A Home State shall have exclusive power to impose	336
Adverse Action against an Occupational Therapist's or	337
Occupational Therapy Assistant's license issued by the Home	338
State.	339
B. In addition to the other powers conferred by State law,	340
a Remote State shall have the authority, in accordance with	341
<pre>existing State due process law, to:</pre>	342
1. Take Adverse Action against an Occupational Therapist's	343
or Occupational Therapy Assistant's Compact Privilege within	344
that Member State.	345
2. Issue subpoenas for both hearings and investigations	346
that require the attendance and testimony of witnesses as well	347
as the production of evidence. Subpoenas issued by a Licensing	348
Board in a Member State for the attendance and testimony of	349

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witnesses or the production of evidence from another Member	350
State shall be enforced in the latter State by any court of	351
competent jurisdiction, according to the practice and procedure	352
of that court applicable to subpoenas issued in proceedings	353
pending before it. The issuing authority shall pay any witness	354
fees, travel expenses, mileage and other fees required by the	355
service statutes of the State in which the witnesses or evidence	356
are located.	357
C. For purposes of taking Adverse Action, the Home State	358
shall give the same priority and effect to reported conduct	359
received from a Member State as it would if the conduct had	360
occurred within the Home State. In so doing, the Home State	361
shall apply its own State laws to determine appropriate action.	362
D. The Home State shall complete any pending	363
investigations of an Occupational Therapist or Occupational	364
Therapy Assistant who changes Primary State of Residence during	365
the course of the investigations. The Home State, where the	366
investigations were initiated, shall also have the authority to	367
take appropriate action(s) and shall promptly report the	368
conclusions of the investigations to the OT Compact Commission	369
Data System. The Occupational Therapy Compact Commission Data	370
System administrator shall promptly notify the new Home State of	371
any Adverse Actions.	372
E. A Member State, if otherwise permitted by State law,	373
may recover from the affected Occupational Therapist or	374
Occupational Therapy Assistant the costs of investigations and	375
disposition of cases resulting from any Adverse Action taken	376
against that Occupational Therapist or Occupational Therapy	377
Assistant.	378

F. A Member State may take Adverse Action based on the

factual findings of the Remote State, provided that the Member	380
State follows its own procedures for taking the Adverse Action.	381
G. Joint Investigations	382
1. In addition to the authority granted to a Member State_	383
by its respective State Occupational Therapy laws and	384
regulations or other applicable State law, any Member State may	385
participate with other Member States in joint investigations of	386
Licensees.	387
2. Member States shall share any investigative,	388
litigation, or compliance materials in furtherance of any joint	389
or individual investigation initiated under the Compact.	390
H. If an Adverse Action is taken by the Home State against	391
an Occupational Therapist's or Occupational Therapy Assistant's	392
license, the Occupational Therapist's or Occupational Therapy	393
Assistant's Compact Privilege in all other Member States shall	394
be deactivated until all encumbrances have been removed from the	395
State license. All Home State disciplinary orders that impose	396
Adverse Action against an Occupational Therapist's or	397
Occupational Therapy Assistant's license shall include a	398
Statement that the Occupational Therapist's or Occupational	399
Therapy Assistant's Compact Privilege is deactivated in all	400
Member States during the pendency of the order.	401
I. If a Member State takes Adverse Action, it shall	402
promptly notify the administrator of the Data System. The	403
administrator of the Data System shall promptly notify the Home	404
State of any Adverse Actions by Remote States.	405
J. Nothing in this Compact shall override a Member State's	406
decision that participation in an Alternative Program may be	407
used in lieu of Adverse Action.	408

SECTION 8. ESTABLISHMENT OF THE OCCUPATIONAL THERAPY	409
COMPACT COMMISSION.	410
A. The Compact Member States hereby create and establish a	411
joint public agency known as the Occupational Therapy Compact	412
<pre>Commission:</pre>	413
1. The Commission is an instrumentality of the Compact	414
States.	415
2. Venue is proper and judicial proceedings by or against	416
the Commission shall be brought solely and exclusively in a	417
court of competent jurisdiction where the principal office of	418
the Commission is located. The Commission may waive venue and	419
jurisdictional defenses to the extent it adopts or consents to	420
participate in alternative dispute resolution proceedings.	421
3. Nothing in this Compact shall be construed to be a	422
waiver of sovereign immunity.	423
B. Membership, Voting, and Meetings	424
1. Each Member State shall have and be limited to one (1)	425
delegate selected by that Member State's Licensing Board.	426
2. The delegate shall be either:	427
a. A current member of the Licensing Board, who is an	428
Occupational Therapist, Occupational Therapy Assistant, or	429
<pre>public member; or</pre>	430
b. An administrator of the Licensing Board.	431
3. Any delegate may be removed or suspended from office as	432
provided by the law of the State from which the delegate is	433
appointed.	434
4 The Member State board shall fill any vacancy occurring	435

in the Commission within 90 days.	436
5. Each delegate shall be entitled to one (1) vote with	437
regard to the promulgation of Rules and creation of bylaws and	438
shall otherwise have an opportunity to participate in the	439
business and affairs of the Commission. A delegate shall vote in	440
person or by such other means as provided in the bylaws. The	441
bylaws may provide for delegates' participation in meetings by	442
telephone or other means of communication.	443
6. The Commission shall meet at least once during each	444
calendar year. Additional meetings shall be held as set forth in	445
the bylaws.	446
7. The Commission shall establish by Rule a term of office	447
for delegates.	448
C. The Commission shall have the following powers and	449
<pre>duties:</pre>	450
1. Establish a Code of Ethics for the Commission;	451
2. Establish the fiscal year of the Commission;	452
3. Establish bylaws;	453
4. Maintain its financial records in accordance with the	454
<pre>bylaws;</pre>	455
5. Meet and take such actions as are consistent with the	456
provisions of this Compact and the bylaws;	457
6. Promulgate uniform Rules to facilitate and coordinate	458
implementation and administration of this Compact. The Rules	459
shall have the force and effect of law and shall be binding in	460
all Member States;	461
7. Bring and prosecute legal proceedings or actions in the	462

name of the Commission, provided that the standing of any State	463
Occupational Therapy Licensing Board to sue or be sued under	464
applicable law shall not be affected;	465
8. Purchase and maintain insurance and bonds;	466
9. Borrow, accept, or contract for services of personnel,	467
including, but not limited to, employees of a Member State;	468
10. Hire employees, elect or appoint officers, fix	469
compensation, define duties, grant such individuals appropriate	470
authority to carry out the purposes of the Compact, and	471
establish the Commission's personnel policies and programs	472
relating to conflicts of interest, qualifications of personnel,	473
and other related personnel matters;	474
11. Accept any and all appropriate donations and grants of	475
money, equipment, supplies, materials and services, and receive,	476
utilize and dispose of the same; provided that at all times the	477
Commission shall avoid any appearance of impropriety and/or	478
<pre>conflict of interest;</pre>	479
12. Lease, purchase, accept appropriate gifts or donations	480
of, or otherwise own, hold, improve or use, any property, real,	481
personal or mixed; provided that at all times the Commission	482
shall avoid any appearance of impropriety;	483
13. Sell, convey, mortgage, pledge, lease, exchange,	484
abandon, or otherwise dispose of any property real, personal, or	485
<pre>mixed;</pre>	486
14. Establish a budget and make expenditures;	487
15. Borrow money;	488
16. Appoint committees, including standing committees	489
composed of members, State regulators, State legislators or	490

19. Perform such other functions as may be necessary or appropriate to achieve the purposes of this Compact consistent 498

with the State regulation of Occupational Therapy licensure and practice. 500

D. The Executive Committee 501

The Executive Committee shall have the power to act on 502
behalf of the Commission according to the terms of this Compact. 503

1. The Executive Committee shall be composed of nine 504 members: 505

a. Seven voting members who are elected by the Commission 506
from the current membership of the Commission; 507

b. One ex-officio, nonvoting member from a recognized

national Occupational Therapy professional association; and

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c. One ex-officio, nonvoting member from a recognized
 national Occupational Therapy certification organization.

2. The ex-officio members will be selected by their 512 respective organizations. 513

3. The Commission may remove any member of the Executive 514

Committee as provided in bylaws. 515

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4. The Executive Committee shall meet at least annually.

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5. The Executive Committee shall have the following Duties	517
<pre>and responsibilities:</pre>	518
a. Recommend to the entire Commission changes to the Rules	519
or bylaws, changes to this Compact legislation, fees paid by	520
Compact Member States such as annual dues, and any Commission	521
Compact fee charged to Licensees for the Compact Privilege;	522
b. Ensure Compact administration services are	523
appropriately provided, contractual or otherwise;	524
c. Prepare and recommend the budget;	525
d. Maintain financial records on behalf of the Commission;	526
e. Monitor Compact compliance of Member States and provide	527
<pre>compliance reports to the Commission;</pre>	528
f. Establish additional committees as necessary; and	529
g. Perform other duties as provided in Rules or bylaws.	530
E. Meetings of the Commission	531
1. All meetings shall be open to the public, and public	532
notice of meetings shall be given in the same manner as required	533
under the Rulemaking provisions in Section 10.	534
2. The Commission or the Executive Committee or other	535
committees of the Commission may convene in a closed, non-public	536
meeting if the Commission or Executive Committee or other	537
<pre>committees of the Commission must discuss:</pre>	538
a. Non-compliance of a Member State with its obligations	539
<pre>under the Compact;</pre>	540
b. The employment, compensation, discipline or other	541
matters, practices or procedures related to specific employees	542
or other matters related to the Commission's internal personnel	543

practices and procedures;	544
c. Current, threatened, or reasonably anticipated	545
<pre>litigation;</pre>	546
d. Negotiation of contracts for the purchase, lease, or	547
sale of goods, services, or real estate;	548
e. Accusing any person of a crime or formally censuring	549
any person;	550
f. Disclosure of trade secrets or commercial or financial	551
information that is privileged or confidential;	552
g. Disclosure of information of a personal nature where	553
disclosure would constitute a clearly unwarranted invasion of	554
personal privacy;	555
h. Disclosure of investigative records compiled for law	556
<pre>enforcement purposes;</pre>	557
i. Disclosure of information related to any investigative	558
reports prepared by or on behalf of or for use of the Commission	559
or other committee charged with responsibility of investigation	560
or determination of compliance issues pursuant to the Compact;	561
<u>or</u>	562
j. Matters specifically exempted from disclosure by	563
federal or Member State statute.	564
3. If a meeting, or portion of a meeting, is closed	565
pursuant to this provision, the Commission's legal counsel or	566
designee shall certify that the meeting may be closed and shall	567
reference each relevant exempting provision.	568
4. The Commission shall keep minutes that fully and	569
clearly describe all matters discussed in a meeting and shall	570

provide a full and accurate summary of actions taken, and the	571
reasons therefore, including a description of the views	572
expressed. All documents considered in connection with an action	573
shall be identified in such minutes. All minutes and documents	574
of a closed meeting shall remain under seal, subject to release	575
by a majority vote of the Commission or order of a court of	576
competent jurisdiction.	577
F. Financing of the Commission	578
1. The Commission shall pay, or provide for the payment	579
of, the reasonable expenses of its establishment, organization,	580
and ongoing activities.	581
2. The Commission may accept any and all appropriate	582
revenue sources, donations, and grants of money, equipment,	583
supplies, materials, and services.	584
3. The Commission may levy on and collect an annual	585
assessment from each Member State or impose fees on other	586
parties to cover the cost of the operations and activities of	587
the Commission and its staff, which must be in a total amount	588
sufficient to cover its annual budget as approved by the	589
Commission each year for which revenue is not provided by other	590
sources. The aggregate annual assessment amount shall be	591
allocated based upon a formula to be determined by the	592
Commission, which shall promulgate a Rule binding upon all	593
Member States.	594
4. The Commission shall not incur obligations of any kind	595
prior to securing the funds adequate to meet the same; nor shall	596
the Commission pledge the credit of any of the Member States,	597
except by and with the authority of the Member State.	598
5. The Commission shall keep accurate accounts of all	599

receipts and disbursements. The receipts and disbursements of	600
the Commission shall be subject to the audit and accounting	601
procedures established under its bylaws. However, all receipts	602
and disbursements of funds handled by the Commission shall be	603
audited yearly by a certified or licensed public accountant, and	604
the report of the audit shall be included in and become part of	605
the annual report of the Commission.	606
G. Qualified Immunity, Defense, and Indemnification	607
1. The members, officers, executive director, employees	608
and representatives of the Commission shall be immune from suit	609
and liability, either personally or in their official capacity,	610
for any claim for damage to or loss of property or personal	611
injury or other civil liability caused by or arising out of any	612
actual or alleged act, error or omission that occurred, or that	613
the person against whom the claim is made had a reasonable basis	614
for believing occurred within the scope of Commission	615
employment, duties or responsibilities; provided that nothing in	616
this paragraph shall be construed to protect any such person	617
from suit and/or liability for any damage, loss, injury, or	618
liability caused by the intentional or willful or wanton	619
misconduct of that person.	620
2. The Commission shall defend any member, officer,	621
executive director, employee, or representative of the	622
Commission in any civil action seeking to impose liability	623
arising out of any actual or alleged act, error, or omission	624
that occurred within the scope of Commission employment, duties,	625
or responsibilities, or that the person against whom the claim	626
is made had a reasonable basis for believing occurred within the	627
scope of Commission employment, duties, or responsibilities;	628
provided that nothing herein shall be construed to prohibit that	629

person from retaining his or her own counsel; and provided	630
further, that the actual or alleged act, error, or omission did	631
not result from that person's intentional or willful or wanton	632
misconduct.	633
3. The Commission shall indemnify and hold harmless any	634
member, officer, executive director, employee, or representative	635
of the Commission for the amount of any settlement or judgment	636
obtained against that person arising out of any actual or	637
alleged act, error or omission that occurred within the scope of	638
Commission employment, duties, or responsibilities, or that such	639
person had a reasonable basis for believing occurred within the	640
scope of Commission employment, duties, or responsibilities,	641
provided that the actual or alleged act, error, or omission did	642
not result from the intentional or willful or wanton misconduct	643
of that person.	644
SECTION 9. DATA SYSTEM	645
A. The Commission shall provide for the development,	646
maintenance, and utilization of a coordinated database and	647
reporting system containing licensure, Adverse Action, and	648
Investigative Information on all licensed individuals in Member	649
<u>States.</u>	650
B. A Member State shall submit a uniform data set to the	651
Data System on all individuals to whom this Compact is	652
applicable (utilizing a unique identifier) as required by the	653
Rules of the Commission, including:	654
1. Identifying information;	655
2. Licensure data;	656
3 Adverse Actions against a license or Compact Privilege.	657

4. Non-confidential information related to Alternative	658
Program participation;	659
5. Any denial of application for licensure, and the	660
<pre>reason(s) for such denial;</pre>	661
6. Other information that may facilitate the	662
administration of this Compact, as determined by the Rules of	663
the Commission; and	664
7. Current Significant Investigative Information.	665
C. Current Significant Investigative Information and other	666
Investigative Information pertaining to a Licensee in any Member	667
State will only be available to other Member States.	668
D. The Commission shall promptly notify all Member States	669
of any Adverse Action taken against a Licensee or an individual	670
applying for a license. Adverse Action information pertaining to	671
a Licensee in any Member State will be available to any other	672
Member State.	673
E. Member States contributing information to the Data	674
System may designate information that may not be shared with the	675
public without the express permission of the contributing State.	676
F. Any information submitted to the Data System that is	677
subsequently required to be expunged by the laws of the Member	678
State contributing the information shall be removed from the	679
<pre>Data System.</pre>	680
SECTION 10. RULEMAKING	681
A. The Commission shall exercise its Rulemaking powers	682
pursuant to the criteria set forth in this Section and the Rules	683
adopted thereunder. Rules and amendments shall become binding as	684
of the date specified in each Rule or amendment.	685

Sub. S. B. No. 7 As Passed by the House

B. The Commission shall promulgate reasonable rules in	686
order to effectively and efficiently achieve the purposes of the	687
Compact. Notwithstanding the foregoing, in the event the	688
Commission exercises its rulemaking authority in a manner that	689
is beyond the scope of the purposes of the Compact, or the	690
powers granted hereunder, then such an action by the Commission	691
shall be invalid and have no force and effect.	692
C. If a majority of the legislatures of the Member States	693
rejects a Rule, by enactment of a statute or resolution in the	694
same manner used to adopt the Compact within 4 years of the date	695
of adoption of the Rule, then such Rule shall have no further	696
force and effect in any Member State.	697
D. Rules or amendments to the Rules shall be adopted at a	698
regular or special meeting of the Commission.	699
E. Prior to promulgation and adoption of a final Rule or	700
Rules by the Commission, and at least thirty (30) days in	701
advance of the meeting at which the Rule will be considered and	702
voted upon, the Commission shall file a Notice of Proposed	703
Rulemaking:	704
1. On the website of the Commission or other publicly	705
accessible platform; and	706
2. On the website of each Member State Occupational	707
Therapy Licensing Board or other publicly accessible platform or	708
the publication in which each State would otherwise publish	709
proposed Rules.	710
F. The Notice of Proposed Rulemaking shall include:	711
1. The proposed time, date, and location of the meeting in	712
which the Rule will be considered and voted upon;	713

2. The text of the proposed Rule or amendment and the	714
reason for the proposed Rule;	715
3. A request for comments on the proposed Rule from any	716
interested person; and	717
4. The manner in which interested persons may submit	718
notice to the Commission of their intention to attend the public	719
hearing and any written comments.	720
G. Prior to adoption of a proposed Rule, the Commission	721
shall allow persons to submit written data, facts, opinions, and	722
arguments, which shall be made available to the public.	723
H. The Commission shall grant an opportunity for a public	724
hearing before it adopts a Rule or amendment if a hearing is	725
requested by:	726
1. At least twenty five (25) persons;	727
2. A State or federal governmental subdivision or agency;	728
<u>or</u>	729
3. An association or organization having at least twenty	730
five (25) members.	731
I. If a hearing is held on the proposed Rule or amendment,	732
the Commission shall publish the place, time, and date of the	733
scheduled public hearing. If the hearing is held via electronic	734
means, the Commission shall publish the mechanism for access to	735
the electronic hearing.	736
1. All persons wishing to be heard at the hearing shall	737
notify the executive director of the Commission or other	738
designated member in writing of their desire to appear and	739
testify at the hearing not less than five (5) business days	740
before the scheduled date of the hearing.	741

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2. Hearings shall be conducted in a manner providing each	742
person who wishes to comment a fair and reasonable opportunity	743
to comment orally or in writing.	744
3. All hearings will be recorded. A copy of the recording	745
will be made available on request.	746
4. Nothing in this section shall be construed as requiring	747
a separate hearing on each Rule. Rules may be grouped for the	748
convenience of the Commission at hearings required by this	749
section.	750
J. Following the scheduled hearing date, or by the close	751
of business on the scheduled hearing date if the hearing was not	752
held, the Commission shall consider all written and oral	753
comments received.	754
K. If no written notice of intent to attend the public	755
hearing by interested parties is received, the Commission may	756
proceed with promulgation of the proposed Rule without a public	757
hearing.	758
L. The Commission shall, by majority vote of all members,	759
take final action on the proposed Rule and shall determine the	760
effective date of the Rule, if any, based on the Rulemaking	761
record and the full text of the Rule.	762
M. Upon determination that an emergency exists, the	763
Commission may consider and adopt an emergency Rule without	764
prior notice, opportunity for comment, or hearing, provided that	765
the usual Rulemaking procedures provided in the Compact and in	766
this section shall be retroactively applied to the Rule as soon	767
as reasonably possible, in no event later than ninety (90) days	768
after the effective date of the Rule. For the purposes of this	769
provision, an emergency Rule is one that must be adopted_	770

<pre>immediately in order to:</pre>	771
1. Meet an imminent threat to public health, safety, or	772
<pre>welfare;</pre>	773
2. Prevent a loss of Commission or Member State funds;	774
3. Meet a deadline for the promulgation of an	775
administrative Rule that is established by federal law or Rule;	776
<u>or</u>	777
4. Protect public health and safety.	778
N. The Commission or an authorized committee of the	779
Commission may direct revisions to a previously adopted Rule or	780
amendment for purposes of correcting typographical errors,	781
errors in format, errors in consistency, or grammatical errors.	782
Public notice of any revisions shall be posted on the website of	783
the Commission. The revision shall be subject to challenge by	784
any person for a period of thirty (30) days after posting. The	785
revision may be challenged only on grounds that the revision	786
results in a material change to a Rule. A challenge shall be	787
made in writing and delivered to the chair of the Commission	788
prior to the end of the notice period. If no challenge is made,	789
the revision will take effect without further action. If the	790
revision is challenged, the revision may not take effect without	791
the approval of the Commission.	792
SECTION 11. OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT	793
A. Oversight	794
1. The executive, legislative, and judicial branches of	795
State government in each Member State shall enforce this Compact	796
and take all actions necessary and appropriate to effectuate the	797
Compact's purposes and intent. The provisions of this Compact	798

and the Rules promulgated hereunder shall have standing as	799
statutory law.	800
2. All courts shall take judicial notice of the Compact	801
and the Rules in any judicial or administrative proceeding in a	802
Member State pertaining to the subject matter of this Compact	803
which may affect the powers, responsibilities, or actions of the	804
Commission.	805
3. The Commission shall be entitled to receive service of	806
process in any such proceeding, and shall have standing to	807
intervene in such a proceeding for all purposes. Failure to	808
provide service of process to the Commission shall render a	809
judgment or order void as to the Commission, this Compact, or	810
promulgated Rules.	811
B. Default, Technical Assistance, and Termination	812
1. If the Commission determines that a Member State has	813
defaulted in the performance of its obligations or	814
responsibilities under this Compact or the promulgated Rules,	815
<pre>the Commission shall:</pre>	816
a. Provide written notice to the defaulting State and	817
other Member States of the nature of the default, the proposed	818
means of curing the default and/or any other action to be taken	819
by the Commission; and	820
b. Provide remedial training and specific technical	821
assistance regarding the default.	822
2. If a State in default fails to cure the default, the	823
defaulting State may be terminated from the Compact upon an	824
affirmative vote of a majority of the Member States, and all	825
rights, privileges and benefits conferred by this Compact may be	826
terminated on the effective date of termination. A cure of the	827

default does not relieve the offending State of obligations or	828
liabilities incurred during the period of default.	829
3. Termination of membership in the Compact shall be	830
imposed only after all other means of securing compliance have	831
been exhausted. Notice of intent to suspend or terminate shall	832
be given by the Commission to the governor, the majority and	833
minority leaders of the defaulting State's legislature, and each	834
of the Member States.	835
4. A State that has been terminated is responsible for all	836
assessments, obligations, and liabilities incurred through the	837
effective date of termination, including obligations that extend	838
beyond the effective date of termination.	839
5. The Commission shall not bear any costs related to a	840
State that is found to be in default or that has been terminated	841
from the Compact, unless agreed upon in writing between the	842
Commission and the defaulting State.	843
6. The defaulting State may appeal the action of the	844
Commission by petitioning the U.S. District Court for the	845
District of Columbia or the federal district where the	846
Commission has its principal offices. The prevailing member	847
shall be awarded all costs of such litigation, including	848
reasonable attorney's fees.	849
C. Dispute Resolution	850
1. Upon request by a Member State, the Commission shall	851
attempt to resolve disputes related to the Compact that arise	852
among Member States and between member and non-Member States.	853
2. The Commission shall promulgate a Rule providing for	854
both mediation and binding dispute resolution for disputes as	855
appropriate.	856

D. Enforcement	857
1. The Commission, in the reasonable exercise of its	858
discretion, shall enforce the provisions and Rules of this	859
Compact.	860
2. By majority vote, the Commission may initiate legal	861
action in the United States District Court for the District of	862
Columbia or the federal district where the Commission has its	863
principal offices against a Member State in default to enforce	864
compliance with the provisions of the Compact and its	865
promulgated Rules and bylaws. The relief sought may include both	866
injunctive relief and damages. In the event judicial enforcement	867
is necessary, the prevailing member shall be awarded all costs	868
of such litigation, including reasonable attorney's fees.	869
3. The remedies herein shall not be the exclusive remedies	870
of the Commission. The Commission may pursue any other remedies	871
available under federal or State law.	872
SECTION 12. DATE OF IMPLEMENTATION OF THE INTERSTATE	873
COMMISSION FOR OCCUPATIONAL THERAPY PRACTICE AND ASSOCIATED	874
RULES, WITHDRAWAL, AND AMENDMENT	875
A. The Compact shall come into effect on the date on which	876
the Compact statute is enacted into law in the tenth Member	877
State. The provisions, which become effective at that time,	878
shall be limited to the powers granted to the Commission	879
relating to assembly and the promulgation of Rules. Thereafter,	880
the Commission shall meet and exercise Rulemaking powers	881
necessary to the implementation and administration of the	882
Compact.	883
B. Any State that joins the Compact subsequent to the	884
Commission's initial adoption of the Rules shall be subject to	885

the Rules as they exist on the date on which the Compact becomes	886
law in that State. Any Rule that has been previously adopted by	887
the Commission shall have the full force and effect of law on	888
the day the Compact becomes law in that State.	889
C. Any Member State may withdraw from this Compact by	890
enacting a statute repealing the same.	891
1. A Member State's withdrawal shall not take effect until	892
six (6) months after enactment of the repealing statute.	893
2. Withdrawal shall not affect the continuing requirement	894
of the withdrawing State's Occupational Therapy Licensing Board	895
to comply with the investigative and Adverse Action reporting	896
requirements of this act prior to the effective date of	897
withdrawal.	898
D. Nothing contained in this Compact shall be construed to	899
invalidate or prevent any Occupational Therapy licensure	900
agreement or other cooperative arrangement between a Member	901
State and a non-Member State that does not conflict with the	902
provisions of this Compact.	903
E. This Compact may be amended by the Member States. No	904
amendment to this Compact shall become effective and binding	905
upon any Member State until it is enacted into the laws of all	906
Member States.	907
SECTION 13. CONSTRUCTION AND SEVERABILITY	908
This Compact shall be liberally construed so as to	909
effectuate the purposes thereof. The provisions of this Compact	910
shall be severable and if any phrase, clause, sentence or	911
provision of this Compact is declared to be contrary to the	912
constitution of any Member State or of the United States or the	913
applicability thereof to any government, agency, person, or	914

circumstance is held invalid, the validity of the remainder of	915
this Compact and the applicability thereof to any government,	916
agency, person, or circumstance shall not be affected thereby.	917
If this Compact shall be held contrary to the constitution of	918
any Member State, the Compact shall remain in full force and	919
effect as to the remaining Member States and in full force and	920
effect as to the Member State affected as to all severable	921
matters.	922
SECTION 14. BINDING EFFECT OF COMPACT AND OTHER LAWS	923
A. A Licensee providing Occupational Therapy in a Remote	924
State under the Compact Privilege shall function within the laws	925
and regulations of the Remote State.	926
B. Nothing herein prevents the enforcement of any other	927
law of a Member State that is not inconsistent with the Compact.	928
C. Any laws in a Member State in conflict with the Compact_	929
are superseded to the extent of the conflict.	930
D. Any lawful actions of the Commission, including all	931
Rules and bylaws promulgated by the Commission, are binding upon	932
the Member States.	933
E. All agreements between the Commission and the Member	934
States are binding in accordance with their terms.	935
F. In the event any provision of the Compact exceeds the	936
constitutional limits imposed on the legislature of any Member	937
State, the provision shall be ineffective to the extent of the	938
conflict with the constitutional provision in question in that	939
Member State.	940
Sec. 4755.141. Not later than ninety days after the	941
"Occupational Therapy Licensure Compact" is entered into under	942

As Passed by the House	
section 4755.14 of the Revised Code, the occupational therapy	943
section of the Ohio occupational therapy, physical therapy, and	944
athletic trainers board shall select an individual to serve as a	945
delegate to the occupational therapy compact commission created	946
under the compact. The occupational therapy section shall fill a	947
vacancy in this position not later than ninety days after the	948
vacancy occurs.	949

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